## Does Fiat-Shamir Require a Cryptographic Hash Function?

Yilei Chen
Alex Lombardi
Fermi Ma
Willy Quach
(Visa Research)
(MIT)
(Princeton and NTT Research)
(Northeastern)

# (Public-Coin) Interactive Protocols [GMR85, B85] 



# (Public-Coin) Interactive Protocols 

 [GMR85, B85]$x$ is true


I know a witness for $x$

## (Public-Coin) Interactive Protocols

 [GMR85, B85]

## (Public-Coin) Interactive Protocols

 [GMR85, B85]

Public coin: each $r_{i}$ uniformly random

## (Public-Coin) Interactive Protocols

[GMR85, B85]


Completeness: If statement is true, verifier accepts w/ probability 1.

Soundness: If statement is false, verifier rejects w/ high probability, no matter what prover does.

Public coin: each $r_{i}$ uniformly random

## (Public-Coin) Interactive Protocols

[GMR85, B85]


Completeness: If statement is true, verifier accepts w/ probability 1.

Soundness: If statement is false, verifier rejects w/ high probability, no matter what prover does.
Public coin: each $r_{i}$ uniformly random

Interaction is powerful [GS86, GMR89, GMW91, S92, K92, ...]
$I P=P S P A C E$, zero-knowledge, succinct arguments, etc.

## (Public-Coin) Interactive Protocols

[GMR85, B85]


> Completeness: If statement is true, verifier accepts w/ probability 1.

Soundness: If statement is false, verifier rejects w/ high probability, no matter what prover does.

Public coin: each $r_{i}$ uniformly random

Interaction is powerful [GS86, GMR89, GMW91, S92, K92, ...]
But do we always need it?

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol $\Pi$

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol П
Non-Interactive Argument $F S_{H}(\Pi)$

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol $\Pi$
Non-Interactive Argument $F S_{H}(\Pi)$

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol $\Pi$

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol П

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol $\Pi$

## Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from (public-coin) interactive protocols How? Replace random verifier messages with hash of previous messages


Public-Coin Interactive Protocol $\Pi$

## When does Fiat-Shamir preserve soundness?



Public-Coin Interactive Protocol $\Pi$
Non-Interactive Argument $F S_{H}(\Pi)$

## When does Fiat-Shamir preserve soundness?

- $H$ is a random oracle (usually) [FS86, BR93, PS96]


Public-Coin Interactive Protocol $\Pi$

## When does Fiat-Shamir preserve soundness?

- $H$ is a random oracle (usually) [FS86, BR93, PS96]
- $H$ is "correlation-intractable" (sometimes) [CGH04, HMR08, CCR16, KRR17, CCRR18, CCHLRRW19, PS19, BKM20, LV20a, JKKZ20, LV20b ...]


Public-Coin Interactive Protocol $\Pi$

Hash Function $H$


Non-Interactive Argument $F S_{H}(\Pi)$

## Intuition: FS hash function should be complex/cryptographic. [Bellare-Rogaway93]

When instantiating a random oracle by a concrete function $h$, care must be taken first to ensure that $h$ is adequately conservative in its design so as not to succumb to cryptanalytic attack, and second to ensure that $h$ exposes no relevant "structure" attributable to its being defined from some lower-level primitive. Examples of both types of pitfalls are given in Section 6. As explained in that

Intuition: FS hash function should be complex/cryptographic. [Bellare-Rogaway93]

When instantiating a random oracle by a concrete function $h$, care must be taken first to ensure that $h$ is adequately conservative in its design so as not to succumb to cryptanalytic attack, and second to ensure that $h$ exposes no relevant "structure" attributable to its being defined from some lower-level primitive. Examples of both types of pitfalls are given in Section 6. As explained in that

> What happens if the hash function exposes "structure"?

Intuition: FS hash function should be complex/cryptographic. [Bellare-Rogaway93]

When instantiating a random oracle by a concrete function $h$, care must be taken first to ensure that $h$ is adequately conservative in its design so as not to succumb to cryptanalytic attack, and second to ensure that $h$ exposes no relevant "structure" attributable to its being defined from some lower-level primitive. Examples of both types of pitfalls are given in Section 6. As explained in that

What happens if the hash function exposes "structure"?
This work: For some well-known protocols, soundness can still hold.

## Result 1: Can compile some protocols* w/ simple, non-cryptographic ${ }^{\dagger}$ FS hash functions.

* Examples:
- Lyubashevsky's ID protocol
- Schnorr's ID protocol
- Chaum-Pedersen protocol
${ }^{+}$Examples:
- $H(x)=\operatorname{BitDecomp}(x)$
- $H(x)=a x+b(\bmod p)$

Result 1: Can compile some protocols* w/ simple, non-cryptographic ${ }^{+}$FS hash functions.
*Examples:

- Lyubashevsky's ID protocol
- Schnorr's ID protocol
- Chaum-Pedersen protocol
${ }^{+}$Examples:
- $H(x)=\operatorname{BitDecomp}(x)$
- $H(x)=a x+b(\bmod p)$

Result 2: For many 3-message HVZK arguments ${ }^{\ddagger}$, cryptographic FS hash function is necessary.
\# Examples:

- Blum's Hamiltonicity protocol w/ parallel repetition
- GMW86 3-Coloring protocol w/ parallel repetition
- 1-bit challenge Schnorr w/ parallel repetition


## Outline

- Positive Results for Lyubashevsky
- Positive Results for Schnorr
- Negative Results


## Outline

- Positive Results for Lyubashevsky
- Positive Results for Schnorr
- Negative Results

| Review: | public | secret | public |
| :---: | :---: | :---: | :---: |
| Lyubashevsky's | A | R | $=Y$ |
| ID Protocol | rando |  | (statisticaly |
|  | in $\mathbb{Z}_{q}$ | hort" | random in $\mathbb{Z}_{a}$ |




I know a short pre-image of $Y$.


I know a short pre-image of $Y$.



I know a short pre-image of $Y$.



I know a short pre-image of $Y$.


## Review:

 Lyubashevsky's ID ProtocolI know a short pre-image of $Y$.


## Review:

 Lyubashevsky's ID Protocol[Lyu12]



I know a short pre-image of $Y$.
$z$ independent of $R$ by noise-flooding or rejection sampling


## Review:

 Lyubashevsky's ID Protocol[Lyu12]



I know a short pre-image of $Y$.
$z$ independent of $R$ by noise-flooding or rejection sampling


Accept if


## Review：

Lyubashevsky＇s
ID Protocol
［Lyu12］

I know a short pre－image of $Y$ ．

## Soundness（Average Case）

 probability，㞔会 breaks SIS． $z$ independent of $R$ by noise－flooding or rejection sampling

public secret public

$$
\begin{array}{cll}
\text { random } & & \text { (statistically) } \\
\text { in } \mathbb{Z}_{q} & \text { "short" } & \text { random in } \mathbb{Z}_{q}
\end{array}
$$



## Review:

Lyubashevsky's
ID Protocol
[Lyu12]

I know a short pre-image of $Y$.
public secret public


## Soundness (Average Case)

Run on $c \neq c^{\prime}$, get


Accept if


## Review:

Lyubashevsky's
ID Protocol
[Lyu12]
public secret public


I know a short pre-image of $Y$.
$z$ independent of $R$ by noise-flooding or rejection sampling


## Soundness (Average Case)

Subtract


Accept if


## Review:

 Lyubashevsky's ID Protocol[Lyu12]

I know a short
pre-image of $Y$.

$z$ independent of $R$ by noise-flooding or rejection sampling

public secret public


## Soundness (Average Case)

Multiply by $A$, rearrange:


Accept if


## Review:


$z$ independent of $R$ by noise-flooding or rejection sampling


Lyubashevsky's
ID Protocol
[Lyu12]

I know a short pre-image of $Y$.


## Honest Verifier ZK



Accept if



I know a short pre-image of $Y$.


Soundness: Must use $h$ where hard to find $\alpha$ and short ${ }_{z}$ satisfying:


Soundness: Must use $h$ where hard to find $\alpha$ and short $\square z$ satisfying:


Key Idea: What if $\alpha=\square$ ?

Soundness: Must use $h$ where hard to find $\alpha$ and short ${ }_{z}$ satisfying:


Key Idea: What if $\alpha=\square$ ?
For example, $h(\alpha)=\operatorname{BitDecomp}(\alpha)$,
$G=\left[\begin{array}{ll}1,2,4, \ldots & \\ & 1,2,4, \ldots .\end{array}\right.$

Soundness: Must use $h$ where hard to find $\alpha$ and short $\square z$ satisfying:


Soundness: Must use $h$ where hard
Key Idea: What if $\alpha=G$

$h(\alpha)$


Since $\prod_{z}$ is short, hard to find under SIS!



then you can find short solution


This is exactly the MP12/LW15 lattice trapdoor!
In an alternate timeline, we could have discovered lattice trapdoors from trying to Fiat-Shamir Lyubashevsky's protocol.

```
Theorem. If there exists G}\mathrm{ such that }\alpha=\squareG|h(\alpha
then h is secure FS hash for [Lyu12] ID scheme (under SIS).
```



What does this say about signatures?

We have two approaches for constructing lattice-based signatures:

## GPV08 <br> (Preimage Sampleable Functions)

$f_{A}(x)=A x$ where trapdoor $T$ enables pre-image sampling.

Sign $m$ by applying random oracle $R O(m)$ and use $T$ to find preimage of $R O(m)$.

## Fiat-Shamir + Lyubashevsky

 ("Lattice Signatures w/o Trapdoors")Compile Lyubashevsky ID protocol into signature Fiat-Shamir.

We have two approaches for constructing lattice-based signatures:

## GPV08

(Preimage Sampleable Functions)
$f_{A}(x)=A x$ where trapdoor $T$ enables pre-image sampling.

Sign $m$ by applying random oracle $R O(m)$ and use $T$ to find preimage of $R O(m)$.

Fiat-Shamir + Lyubashevsky ("Lattice Signatures w/o Trapdoors")

Compile Lyubashevsky ID protocol into signature Fiat-Shamir.

Claim. [GPV08] with [MP12] trapdoor can be viewed as Hash-and-Sign applied to $F S_{h}\left[\Pi_{L y u}\right]$ where FS hash function is $h(\alpha, x)=G^{-1}(\alpha+x)$.

$$
\begin{array}{cc}
\text { If } h(\alpha, R O(m))=G^{-1}(\alpha+R O(m)): & \alpha+\square=\square G \\
R O(m)
\end{array} h_{h(\alpha, R O(m))}
$$

$$
\begin{array}{cc}
\text { If } h(\alpha, R O(m))=G^{-1}(\alpha+R O(m)): & \alpha+\square=\square G \\
R O(m)
\end{array} h_{h(\alpha, R O(m))}
$$



$$
\begin{array}{rc}
\text { If } h(\alpha, R O(m))=G^{-1}(\alpha+R O(m)): & \alpha+\square=\square G \\
R O(m)
\end{array} h_{h(\alpha, R O(m))}
$$



$$
\begin{aligned}
& \text { If } h(\alpha, R O(m))=G^{-1}(\alpha+R O(m)): \alpha+Q_{1}=\square G \\
& R O(m)
\end{aligned} \underbrace{}_{h(\alpha, R O(m))}
$$



As in [GPV08], a signature is a preimage of $R O(m)$ !


## Outline

- Positive Results for Lyubashevsky
- Positive Results for Schnorr
- Negative Results

Review: Schnorr's
ID Protocol [s91]

Group $G$ of order $p$ with generator $g$
public $g^{x}$


Review: Schnorr's
ID Protocol [s91]

Group $G$ of order $p$ with generator $g$




Sample random $c \leftarrow \mathbb{Z}_{p}$.

Review: Schnorr's
ID Protocol [s91]

Group $G$ of order $p$ with generator $g$

I know $x$ public $g^{x}$
Sample random $r \leftarrow \mathbb{Z}_{p}$.

Compute $z=r+c x$.


Sample random $c \leftarrow \mathbb{Z}_{p}$. Accept if $g^{z}=g^{r}\left(g^{x}\right)^{c}$.

Review: Schnorr's
ID Protocol [s91]

Group $G$ of order $p$ with generator $g$


Proof of Knowledge: If 雄 accepts w/ good probability, can extract $x$ from
(run , on $c_{1} \neq c_{2}$; solve $z_{1}=r+c_{1} x$ and $z_{2}=r+c_{2} x$ for $x$ )

Review: Schnorr's
ID Protocol [s91]

Group $G$ of order $p$ with generator $g$

I know $x$ public $g^{x}$
Sample random $r \leftarrow \mathbb{Z}_{p}$.

Compute $z=r+c x$.


Sample random $c \leftarrow \mathbb{Z}_{p}$.
Accept if $g^{z}=g^{r}\left(g^{x}\right)^{c}$.

Honest Verifier ZK: Can simulate honest verifier accepting transcripts.
(pick random $c, z$, set $g^{r}=g^{z}\left(g^{x}\right)^{-c}$ ).

Schnorr

+ Fiat-Shamir

Group $G$ of order $p$
with generator $g$
FS hash
$H: G \rightarrow \mathbb{Z}_{p}$

Sample random $r \leftarrow \mathbb{Z}_{p}$.

$$
\xrightarrow{g^{r}, z} \text { 車穿 }
$$

## Schnorr

+ Fiat-Shamir
Sample random $r \leftarrow \mathbb{Z}_{p}$.
Compute $z=r+H\left(g^{r}\right) x$.

> Group $G$ of order $p$ with generator $g$

FS hash $H: G \rightarrow \mathbb{Z}_{p}$


Accept if $g^{z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.

Important Open Question: For what $H$ is this sound?

## Schnorr

## + Fiat-Shamir

Sample random $r \leftarrow \mathbb{Z}_{p}$.
Compute $z=r+H\left(g^{r}\right) x$.

## Group $G$ of order $p$ with generator $g$

FS hash
public $g^{x}$


Accept if $g^{z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.

Important Open Question: For what $H$ is this sound?
Let's ask a different question...
For what $H$ is this unsound?

## Schnorr

## + Fiat-Shamir

Sample random $r \leftarrow \mathbb{Z}_{p}$.
Compute $z=r+H\left(g^{r}\right) x$.

> | Group $G$ of order $p$ |
| :--- |
| with generator $g$ |

FS hash $H: G \rightarrow \mathbb{Z}_{p}$


Accept if $g^{Z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.

Rephrased: For what $H$ is it possible to break FS-Schnorr for any group $G$ ?

## Schnorr

## + Fiat-Shamir




$$
\begin{aligned}
& \text { Group } G \text { of order } p \\
& \text { with generator } g \\
& \hline
\end{aligned}
$$

FS hash
$H: G \rightarrow \mathbb{Z}_{p}$

Rephrased: For what $H$ is it possible to break FS-Schnorr for any group $G$ ?

- Constant functions: If $H\left(g^{r}\right)=k$ for all $g^{r}$, set $g^{r}=\left(g^{x}\right)^{-k}$ and $z=0$.


## Schnorr

+ Fiat-Shamir


## Group $G$ of order $p$ with generator $g$



Sample random $r \leftarrow \mathbb{Z}_{p}$.
Compute $z=r+H\left(g^{r}\right) x$.

Rephrased: For what $H$ is it possible to break FS-Schnorr for any group $G$ ?

- Constant functions: If $H\left(g^{r}\right)=k$ for all $g^{r}$, set $g^{r}=\left(g^{x}\right)^{-k}$ and $z=0$.
- "Constant on many inputs" : If $H\left(g^{r}\right)=k$ for $\varepsilon$ fraction of $g^{r}$, same attack works with $\varepsilon$ probability.


## Schnorr

## + Fiat-Shamir

> | Group $G$ of order $p$ |
| :---: | :---: |
| with generator $g$ |$\quad \begin{gathered}\text { FS hash } \\ H: G \rightarrow \mathbb{Z}_{p}\end{gathered}$

public $g^{x}$
Sample random $r \leftarrow \mathbb{Z}_{p}$.


Accept if $g^{Z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.

FS-Schnorr always insecure for these $H$.
$H\left(g^{r}\right)=k$ for noticeable fraction of $g^{r}$

## Schnorr

## + Fiat-Shamir

$$
\begin{array}{c|c|}
\hline \text { Group } G \text { of order } p \\
\text { with generator } g & \begin{array}{c}
\text { FS hash } \\
H: G \rightarrow \mathbb{Z}_{p}
\end{array} \\
\hline
\end{array}
$$

Sample random $r \leftarrow \mathbb{Z}_{p}$.
Accept if $g^{z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.
Compute $z=r+H\left(g^{r}\right) x$.

$H\left(g^{r}\right)$ has $\omega(\log \lambda)$ min-
entropy on random $g^{r}$

FS-Schnorr always insecure for these $H$.

$$
\begin{aligned}
& H\left(g^{r}\right)=k \text { for noticeable } \\
& \text { fraction of } g^{r}
\end{aligned}
$$

All
functions $H$

Schnorr + Fiat-Shamir

Group $G$ of order $p$ with generator $g$

$$
\begin{gathered}
\text { FS hash } \\
H: G \rightarrow \mathbb{Z}_{p}
\end{gathered}
$$

Sample random $r \leftarrow \mathbb{Z}_{p}$.


Accept if $g^{Z}=g^{r}\left(g^{x}\right)^{H\left(g^{r}\right)}$.

Thm: FS-Schnorr secure in "Generic Group Model" for these $H$ !

FS-Schnorr always insecure for these $H$.

$$
\begin{gathered}
H\left(g^{r}\right)=k \text { for noticeable } \\
\text { fraction of } g^{r}
\end{gathered}
$$

All
functions $H$

## Aside: Generic Group Model (GGM) [n94,S97,M95]

Tagline: Idealized interface that only allows "honest" use of the group.

## Aside: Generic Group Model (GGM) [N94,S97,M95]

Tagline: Idealized interface that only allows "honest" use of the group.

1) Sample random injection
$\sigma: \mathbb{Z}_{p} \rightarrow\{0,1\}^{\ell}$.

| GGM Oracle |  |
| :---: | :---: |
| $x$ | $\sigma(x)$ |
| 0 | 10100100 |
| 1 | 01010111 |
| $\vdots$ | $\vdots$ |
| $p-1$ | 10010110 |

## Aside: Generic Group Model (GGM) [N94,S97,M95]

Tagline: Idealized interface that only allows "honest" use of the group.

1) Sample random injection
$\sigma: \mathbb{Z}_{p} \rightarrow\{0,1\}^{\ell}$.
2) Replace each $g^{x}$ with "label" $\sigma(x)$.

| GGM Oracle |  |
| :---: | :---: |
| $x$ | $\sigma(x)$ |
| 0 | 10100100 |
| 1 | 01010111 |
| $\vdots$ | $\vdots$ |
| $p-1$ | 10010110 |

## Aside: Generic Group Model (GGM) [N94,S97,M95]

Tagline: Idealized interface that only allows "honest" use of the group.

1) Sample random injection
$\sigma: \mathbb{Z}_{p} \rightarrow\{0,1\}^{\ell}$.
2) Replace each $g^{x}$ with "label" $\sigma(x)$.
3) Permit group operations via
 oracle queries

| $H\left(g^{r}\right)$ has $\omega(\log \lambda)$ min- <br> entropy on random $g^{r}$ |  | Theorem: FS-Schnorr secure <br> in GGM for these $H$. |
| :---: | :---: | :---: |
| (captures all "reasonable" $H$ ) |  |  |


| $H\left(g^{r}\right)$ has $\omega(\log \lambda)$ minentropy on random $g^{r}$ |  | Theorem: FS-Schnorr secure in GGM for these $H$. (captures all "reasonable" $H$ ) |
| :---: | :---: | :---: |
| $H\left(g^{r}\right)=k$ for noticeable fraction of $g^{r}$ | All functions $H$ | Example: $H: G \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}\right):=$ "interpret $g^{r}$ as a bitstring and reduce mod $p^{\prime \prime}$ |



## This extends to Schnorr signatures!*

*Similar to analysis by [NSW09]

| $H\left(g^{r}\right)$ has $\omega(\log \lambda)$ min- <br> entropy on random $g^{r}$ |  | Theorem: FS-Schnorr secure <br> in GGM for these $H$. |
| :---: | :---: | :---: |
| (captures all "reasonable" $H$ ) |  |  |

## This extends to Schnorr signatures!*

(Example) Theorem: Schnorr sigs are EUF-CMA secure in GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}, m\right):=g^{r}+m(\bmod p)$ if $|M| / p$ is negligible.
*Similar to analysis by [NSW09]

| $H\left(g^{r}\right)$ has $\omega(\log \lambda)$ min- <br> entropy on random $g^{r}$ |  | Theorem: FS-Schnorr secure <br> in GGM for these $H$. |
| :---: | :---: | :---: |
| (captures all "reasonable" $H$ ) |  |  |

## This extends to Schnorr signatures!*

(Example) Theorem: Schnorr sigs are EUF-CMA secure in GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}, m\right):=g^{r}+m(\bmod p)$ if $|M| / p$ is negligible.

## This $H$ is insecure in practice!

(Example) Theorem: Schnorr sigs are EUF-CMA secure in GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}, m\right):=g^{r}+m(\bmod p)$ if $|M| / p$ is negligible.

## This $H$ is insecure in practice!

## Attack: We show a non-uniform attack on this signature scheme in any concrete group.

(Example) Theorem: Schnorr sigs are EUF-CMA secure in GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}, m\right):=g^{r}+m(\bmod p)$ if $|M| / p$ is negligible.

## This $H$ is insecure in practice!

## Attack: We show a non-uniform attack on this signature scheme in any concrete group.

(also applies to [NSW09] Schnorr signatures)
(Example) Theorem: Schnorr sigs are EUF-CMA secure in GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H\left(g^{r}, m\right):=g^{r}+m(\bmod p)$ if $|M| / p$ is negligible.

$$
H\left(g^{r}, m\right)=g^{r}+m(\bmod p)
$$

Group $G$ of order $p$ with generator $g$

Signing key $g^{x}$.
Recall: Valid signature on $m$ is $\left(g^{r}, z\right)$ where:

$$
g^{z}=g^{r}\left(g^{x}\right)^{g^{r}+m(\bmod p)}
$$

$$
H\left(g^{r}, m\right)=g^{r}+m(\bmod p)
$$

Group $G$ of order $p$ with generator $g$

Signing key $g^{x}$.
Recall: Valid signature on $m$ is $\left(g^{r}, z\right)$ where:

$$
g^{z}=g^{r}\left(g^{x}\right)^{g^{r}+m(\bmod p)}
$$

## Non-Uniform Attack

- Advice: $(m, r)$ where the bit-representation of $g^{r}$ is $-m(\bmod p)$.
- Attack: Output ( $m, g^{r}, z=r$ ).

$$
H\left(g^{r}, m\right)=g^{r}+m(\bmod p)
$$

Group $G$ of order $p$ with generator $g$

Signing key $g^{x}$.
Recall: Valid signature on $m$ is $\left(g^{r}, z\right)$ where:

$$
g^{z}=g^{r}\left(g^{x}\right)^{g^{r}+m(\bmod p)}
$$

## Non-Uniform Attack

- Advice: $(m, r)$ where the bit-representation of $g^{r}$ is $-m(\bmod p)$.
- Attack: Output ( $m, g^{r}, z=r$ ).

Over $\mathbb{Z}_{p}^{\times}$and elliptic curve groups, this attack can be done without advice!

Problem: GGM fails to capture non-uniform attacks.
However, this is a known problem of the GGM, and we can (essentially) recover our positive results in the preprocessing GGM:

Problem: GGM fails to capture non-uniform attacks.
However, this is a known problem of the GGM, and we can (essentially) recover our positive results in the preprocessing GGM:

| GGM Oracle |  |
| :---: | :---: |
| $x$ | $\sigma(x)$ |
| 0 | 10100100 |
| 1 | 01010111 |
| $\vdots$ | $\vdots$ |
| $p-1$ | 10010110 |


$\left\lvert\, \begin{aligned} & \text { poly-size } \\ & \text { "advice" }\end{aligned}\right.$

## Problem: GGM fails to capture non-uniform attacks.

However, this is a known problem of the GGM, and we can (essentially) recover our positive results in the preprocessing GGM:

| GGM Oracle |  |
| :---: | :---: |
| $x$ | $\sigma(x)$ |
| 0 | 10100100 |
| 1 | 01010111 |
| $\vdots$ | $\vdots$ |
| $p-1$ | 10010110 |



Theorem: Schnorr sigs are EUF-CMA secure in preprocessing GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H_{k}\left(g^{r}, m\right):=g^{r}+m+k(\bmod p)$ if $|M| / p$ is negligible.

## Uniformly random key $k \leftarrow \mathbb{Z}_{p}$ blocks

 generic non-uniform attacksTheorem: Schnorr sigs are EUF-CMA secure in preprocessing GGM for $H: G \times M \rightarrow \mathbb{Z}_{p}$ where $H_{k}\left(g^{r}, m\right):=g^{r}+m+k(\bmod p)$ if $|M| / p$ is negligible.

## Uniformly random key $k \leftarrow \mathbb{Z}_{p}$ blocks

 generic non-uniform attacksConjecture: This scheme is secure if $G$ is $\mathbb{Z}_{p}^{\times}$.
(not implied by generic analysis, but we haven't found any attacks)

Exercise. Break Schnorr sigs for short messages over $\mathbb{Z}_{p}^{\times}$w/ this FS hash:

$$
H_{k}\left(g^{r}, m\right)=g^{r}+m+k(\bmod p)
$$

Sign $(s k, m)$

Group: $\mathbb{Z}_{p}^{\times}$with generator $g$
Message Space: $m \in M$ with
$|M| / p$ negligible
Signing key: $s k \leftarrow \mathbb{Z}_{p}$
Verification key: $v k=\left(k, g^{s k}\right)$ where $k \leftarrow \mathbb{Z}_{p}$

- Sample $r \leftarrow \mathbb{Z}_{p}$. Let
$z=r+\left(g^{r}+m+k\right) \cdot s k(\bmod p)$
- Output $\left(g^{r}, z\right)$

$$
\operatorname{Ver}\left(v k, m,\left(g^{r}, z\right)\right)
$$

- Accept if

$$
g^{z}=g^{r} \cdot\left(g^{s k}\right)^{g^{r}+m+k}(\bmod p) .
$$

Warning: Our security analysis does not imply security in $\mathbb{Z}_{p}^{\times}$!
But unclear (to us) how to break EUF-CMA security.

Interpreting Positive Results
Hash Function $H$


In positive results, $F S_{H}[\Pi]$ soundness uses cryptography already present in $\Pi$.

## Interpreting Positive Results

Hash Function $H$


In positive results, $F S_{H}[\Pi]$ soundness uses cryptography already present in $\Pi$.

- $\Pi_{S c h}$ uses cryptographic groups; $F S_{H}\left[\Pi_{S c h}\right]$ soundness relies on generic hardness of the group.


## Interpreting Positive Results

Hash Function $H$


In positive results, $F S_{H}[\Pi]$ soundness uses cryptography already present in $\Pi$.

- $\Pi_{S c h}$ uses cryptographic groups; $F S_{H}\left[\Pi_{S c h}\right]$ soundness relies on generic hardness of the group.
- $\Pi_{L y u}$ uses lattices; $F S_{H}\left[\Pi_{L y u}\right]$ soundness relies on SIS.

Interpreting Positive Results
Hash Function $H$


This suggests a strategy: identify a security property related to $\Pi$ that results in sound $F S_{H}[\Pi]$ for a simple/non-cryptographic $H$.

Interpreting Positive Results
Hash Function $H$


This suggests a strategy: identify a security property related to $\Pi$ that results in sound $F S_{H}[\Pi]$ for a simple/non-cryptographic $H$.

When is it possible to do this?

## Outline

- Positive Results for Lyubashevsky
- Positive Results for Schnorr
- Negative Results

Theorem. Let $\Pi$ be a 3-message HVZK argument (or proof) with poly-size challenge space and let $\Pi^{t}$ denote $\Pi$ repeated $t$ times in parallel.
Soundness of $F S_{H}\left[\Pi^{t}\right]$ requires $H$ to satisfy a cryptographic security property.

Theorem. Let $\Pi$ be a 3-message HVZK argument (or proof) with poly-size challenge space and let $\Pi^{t}$ denote $\Pi$ repeated $t$ times in parallel.

Soundness of $F S_{H}\left[\Pi^{t}\right]$ requires $H$ to satisfy a cryptographic security property.

- Blum's Hamiltonicity protocol
- GMW86 3-Coloring protocol
- 1-bit challenge Schnorr
- 1-bit challenge Lyubashevsky

Theorem. Let $\Pi$ be a 3-message HVZK argument (or proof) with poly-size challenge space and let $\Pi^{t}$ denote $\Pi$ repeated $t$ times in parallel.
Soundness of $F S_{H}\left[\Pi^{t}\right]$ requires $H$ to satisfy a cryptographic security property.

- Blum's Hamiltonicity protocol
- GMW86 3-Coloring protocol
- 1-bit challenge Schnorr
- 1-bit challenge Lyubashevsky

Takeaway: FS without a cryptographic hash function requires large challenge space that is not obtained via parallel repetition of a protocol with a small challenge space.

Theorem. Let $\Pi$ be a 3-message HVZK argument (or proof) with poly-size challenge space and let $\Pi^{t}$ denote $\Pi$ repeated $t$ times in parallel.

Soundness of $F S_{H}\left[\Pi^{t}\right]$ requires $H$ to satisfy a cryptographic security property.

- Blum's Hamiltonicity protocol

Recall: First message in Blum is a cryptographic commitment.
Even if the commitment is "ideal", the Fiat-Shamir hash function must be cryptographic.

## Review: ZK Proof of Hamiltonicity [Blum86]


$G^{\prime}=\pi(G)$ for $\pi \leftarrow S_{n}$
Compute $a=\operatorname{Com}\left(G^{\prime}\right)$
$b=0$ : open $G^{\prime}$ and send $\pi$.
$b=1$ : open $\pi \circ \sigma$.


Accept if:
$b=0$ : openings valid and
$G^{\prime}=\pi(G)$.
$b=1$ : openings valid and edge openings are 1 .

## Review: ZK Proof of Hamiltonicity [Blum86]


$G^{\prime}=\pi(G)$ for $\pi \leftarrow S_{n}$
Compute $a=\operatorname{Com}\left(G^{\prime}\right)$
$\mathrm{w} / \operatorname{Com}(x ; r)=\mathcal{O}(x, r)$

$$
b \in\{0,1\}
$$

$b=0$ : open $G^{\prime}$ and send $\pi$.
$b=1$ : open $\pi \circ \sigma$.



Accept if:
$b=0$ : openings valid and
$G^{\prime}=\pi(G)$.
$b=1$ : openings valid and edge openings are 1 .

## Review: ZK Proof of Hamiltonicity [Blum86]


$G^{\prime}=\pi(G)$ for $\pi \leftarrow S_{n}$ Compute $a=\operatorname{Com}\left(G^{\prime}\right)$ $\mathrm{w} / \operatorname{Com}(x ; r)=\mathcal{O}(x, r)$
$b=0$ : open $G^{\prime}$ and send $\pi$.
$b=1$ : open $\pi \circ \sigma$.

+ parallel repetition

$$
\xrightarrow{a_{1}, \ldots, a_{t}}
$$

$$
b_{1}, \ldots, b_{t}
$$

$$
\xrightarrow{z_{1}, \ldots, z_{t}}
$$



Accept if:
$b=0$ : openings valid and $G^{\prime}=\pi(G)$.
$b=1$ : openings valid and edge openings are 1.

## Review: ZK Proof of Hamiltonicity [Blum86]



What is a bad choice of $H$ ?

$G^{\prime}=\pi(G)$ for $\pi \leftarrow S_{n}$
Compute $a=\operatorname{Com}\left(G^{\prime}\right)$
$\mathrm{w} / \operatorname{Com}(x ; r)=\mathcal{O}(x, r)$

$$
\begin{aligned}
& a_{1}, \ldots, a_{t} \\
& z_{1}, \ldots, z_{t} \\
& \hline
\end{aligned}
$$

$b=0$ : open $G^{\prime}$ and send $\pi$.

$$
\begin{gathered}
b_{1}, \ldots, b_{t} \\
=H\left(a_{1}, \ldots, a_{t}\right)
\end{gathered}
$$

Accept if:
$b=0$ : openings valid and
$G^{\prime}=\pi(G)$.
$b=1$ : openings valid and edge openings are 1.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$. Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$ that can open on challenge $b_{1,1}$.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$ that can open on challenge $b_{1,1}$.
- If $f\left(a_{1,1}\right)=b_{1,1}$ move on.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$
- $b_{2,1} \leftarrow\{0,1\}$ that can open on challenge $b_{1,1}$.
- Compute $a_{2,1}$
- $f\left(a_{2,1}\right) \neq b_{2,1}$
- If $f\left(a_{1,1}\right)=b_{1,1}$ move on.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$ that can open on challenge $b_{1,1}$.
- If $f\left(a_{1,1}\right)=b_{1,1}$ move on.
$\checkmark$
- $b_{2,1} \leftarrow\{0,1\}$
- Compute $a_{2,1}$
- $f\left(a_{2,1}\right) \neq b_{2,1}$
- $b_{2,2} \leftarrow\{0,1\}$
- Compute $a_{2,2}$
- $f\left(a_{2,2}\right)=b_{2,2}$

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$ that can open on challenge $b_{1,1}$
- If $f\left(a_{1,1}\right)=b_{1,1}$ move on.
- $b_{2,1} \leftarrow\{0,1\} \quad$ - $b_{3,1} \leftarrow\{0,1\}$
- Compute $a_{2,1}$ - Compute $a_{3,1}$
- $f\left(a_{2,1}\right) \neq b_{2,1} \cdot f\left(a_{3,1}\right)=b_{3,1}$
- $b_{2,2} \leftarrow\{0,1\}$
- Compute $a_{2,2}$
- $f\left(a_{2,2}\right)=b_{2,2}$
$\checkmark$
Each $i=1, \ldots, t$ takes 2 tries in expectation
- $b_{t, 1} \leftarrow\{0,1\}$
- Compute $a_{t, 1}$
- $f\left(a_{t, 1}\right) \neq b_{t, 1}$
- $b_{t, 2} \leftarrow\{0,1\}$
- Compute $a_{t, 2}$
- $f\left(a_{t, 2}\right)=b_{t, 2}$

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.
Idea: Break each instance one-by-one.

- $b_{1,1} \leftarrow\{0,1\}$
- Compute $a_{1,1}$ that can open on challenge $b_{1,1}$.
- If $f\left(a_{1,1}\right)=b_{1,1}$ move on.
$\checkmark$
- $b_{2,1} \leftarrow\{0,1\}$
- Compute $a_{2,1}$
- $f\left(a_{2,1}\right) \neq b_{2,1}$
- $b_{2,2} \leftarrow\{0,1\}$
- Compute $a_{2,2}$
- $f\left(\frac{\left(a_{2,2}\right)}{\sqrt{ }}\right)=b_{2,2}$
- $b_{3,1} \leftarrow\{0,1\}$
- Compute $a_{3,1}$
- $f\left(\underset{\left.\sqrt{a_{3,1}}\right)}{\sqrt{ }}=b_{3,1}\right.$
- $b_{t, 1} \leftarrow\{0,1\}$
- Compute $a_{t, 1}$
- $f\left(a_{t, 1}\right) \neq b_{t, 1}$
- $b_{t, 2} \leftarrow\{0,1\}$
- Compute $a_{t, 2}$
- $f\left(\frac{\left.a_{t, 2}\right)}{\sqrt{ }}=b_{t, 2}\right.$

Each $i=1, \ldots, t$ takes 2 tries in expectation.

Attacking an Insecure $H$ : Suppose $H\left(a_{1}, \ldots, a_{t}\right)=f\left(a_{1}\right), \ldots, f\left(a_{t}\right)$.

| $b_{1,1} \leftarrow\{0,1\}$ |  |
| :---: | :---: |
| Commitment $a_{1,1}$ | $b_{2,1} \leftarrow\{0,1\}$ <br> Commitment $a_{2,1}$ |
| $b_{1,2} \leftarrow\{0,1\}$ |  |
| Commitment $a_{1,2}$ | $b_{2,2} \leftarrow\{0,1\}$ <br> Commitment $a_{2,2}$ |
|  | $b_{2,3} \leftarrow\{0,1\}$ <br> Commitment $a_{2,3}$ |

Modify attack to always perform $k$ tries for each $i$.


Modify attack to always perform $k$ tries for each $i$.


If $k=\omega(\log t)$, w.h.p. can choose block $j_{i}$ in each column $i$ s.t.

$$
H\left(a_{1, j_{1}}, \ldots, a_{t, j_{t}}\right)=b_{1, j_{1}}, \ldots, b_{t, j_{t}}
$$

## This generalizes to any $H$ !

## $t$ columns



## This generalizes to any $H$ !

## $t$ columns



Lemma. For $\omega(t)$ rows, exists block $j_{i}$ in each column $i$ s.t.

$$
H\left(a_{1, j_{1}}, \ldots, a_{t, j_{t}}\right)=b_{1, j_{1}}, \ldots, b_{t, j_{t}}
$$

1) Sample grid of random bit/commitment pairs.

## General attack on $F S_{H}\left[\Pi_{\text {Blum }}\right]$ :

1) Sample grid of random bit/commitment pairs.


General attack on $F S_{H}\left[\Pi_{\text {Blum }}\right]$ :

1) Sample grid of random bit/commitment pairs.
2) Choose block $j_{i}$ in column $i$ s.t. $H\left(a_{1, j_{1}}, \ldots, a_{t, j_{t}}\right)=b_{1, j_{1}}, \ldots, b_{t, j_{t}}$.



General attack on $F S_{H}\left[\Pi_{\text {Blum }}\right]$ :

1) Sample grid of random bit/commitment pairs.
2) Choose block $j_{i}$ in column $i$ s.t. $H\left(a_{1, j_{1}}, \ldots, a_{t, j_{t}}\right)=b_{1, j_{1}}, \ldots, b_{t, j_{t}}$.
3) Open commitments.


## General attack on $F S_{H}\left[\Pi_{\text {Blum }}\right]$ :

1) Sample grid of random bit/commitment pairs.
2) Choose block $j_{i}$ in column $i$ s.t. $H\left(a_{1, j_{1}}, \ldots, a_{t, j_{t}}\right)=b_{1, j_{1}}, \ldots, b_{t, j_{t}}$.
3) Open commitments.

Soundness of $F S_{H}\left[\Pi_{B l u m}\right]$ requires computational hardness of (2). H must be "mix-and-match resistant."
(requirement extends to any parallel repetition of 3-message HVZK argument with poly-size challenge space.)

## Thanks!

## eprint: 2020/915 slides: cs.princeton.edu/~fermim/

drawings by Eysa Lee

