

Quantum Security and Fiat-Shamir for Cryptographic Protocols

Fermi Ma

Cryptographic Protocols

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1) Functionality

Ex: verify computation, compute on private inputs

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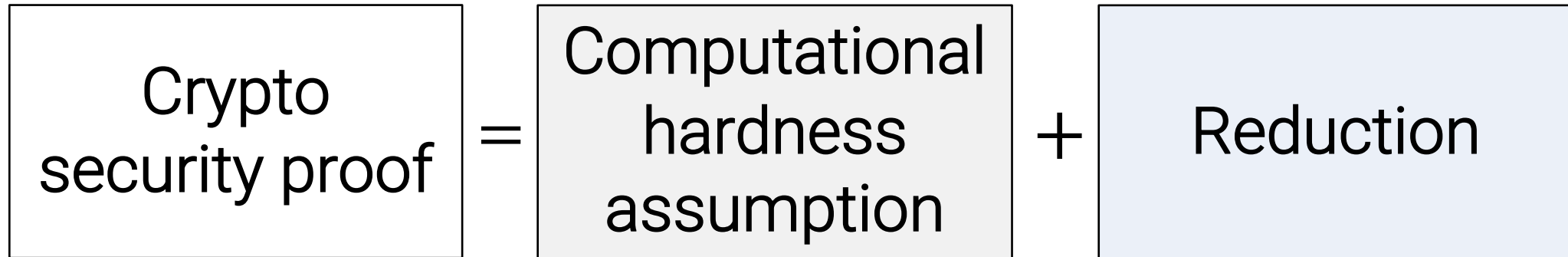
1) Functionality

Ex: verify computation, compute on private inputs

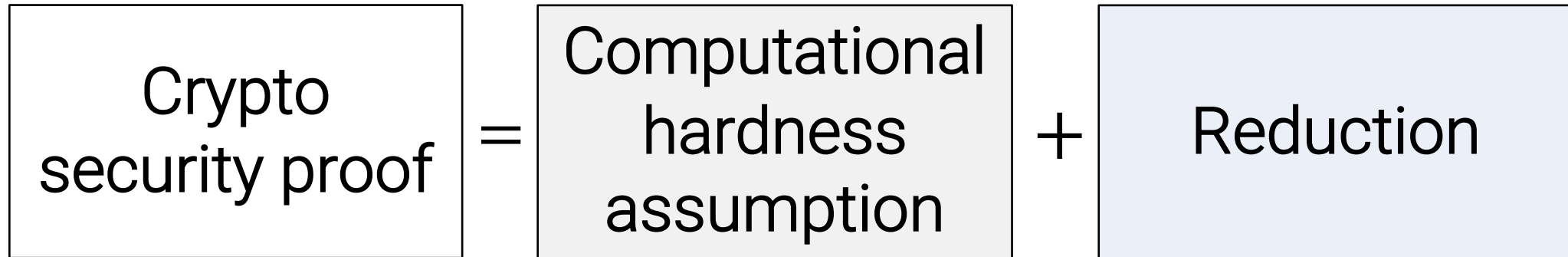
2) Security against adversarial behavior

Ex: can't fool verifier, can't learn other party's input

How do we prove security?

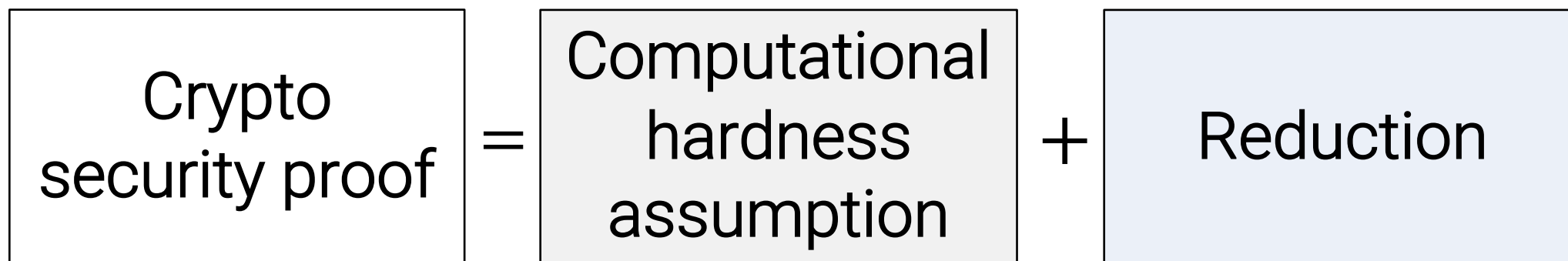


How do we prove security?



Ex: invert one-way function, factoring, discrete log, lattice problems, etc.

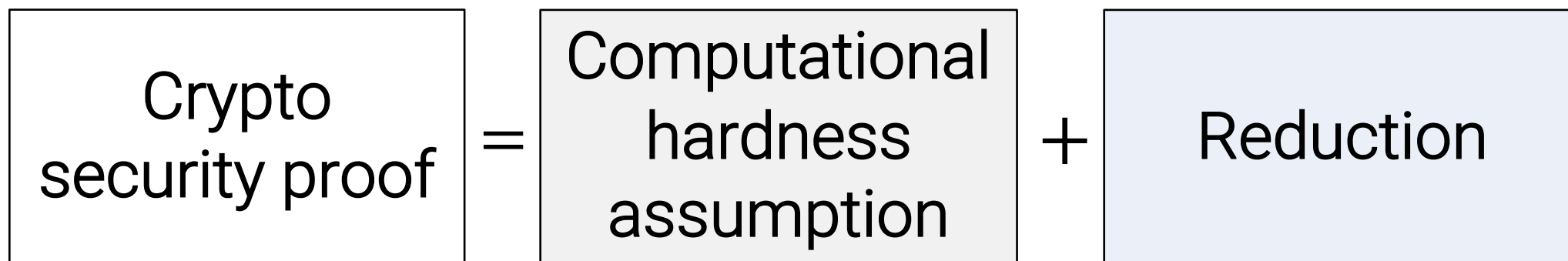
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Any *efficient* attack on the protocol
→ Break underlying hardness assumption

How do we prove security?



Many amazing results based on this formula:

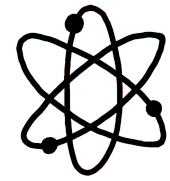
- Zero-knowledge proofs [GMR84]
- Secure multi-party computation [Yao86, GMW86]
- Succinct arguments [Kilian92]

But even in settings where secure protocols are known,
key challenges remain.

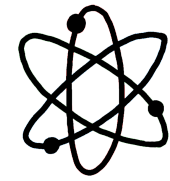
Challenge 1: Quantum Computers

Most security proofs consider classical attackers.

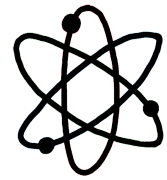
Does security still hold if a quantum computer is built?



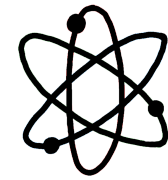
Quantum Computers



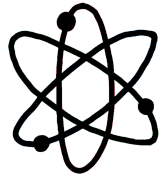
- Use quantum physics to perform computation



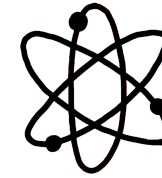
Quantum Computers



- Use quantum physics to perform computation
- Likely more powerful than classical computers (e.g., Shor's algorithm enables factoring)



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- Use quantum physics to perform computation
- Likely more powerful than classical computers (e.g., Shor's algorithm enables factoring)
- Not far away?



[Nature 2019]



[WSJ 2021]

Goal 1:

Understand what happens to crypto if/when
a quantum computer is built.

Challenge 2: Removing Interaction

Protocols typically use *interaction*, but interaction is unwieldy in practice.

Through heuristics such as Fiat-Shamir, some protocols can be made *non-interactive*.

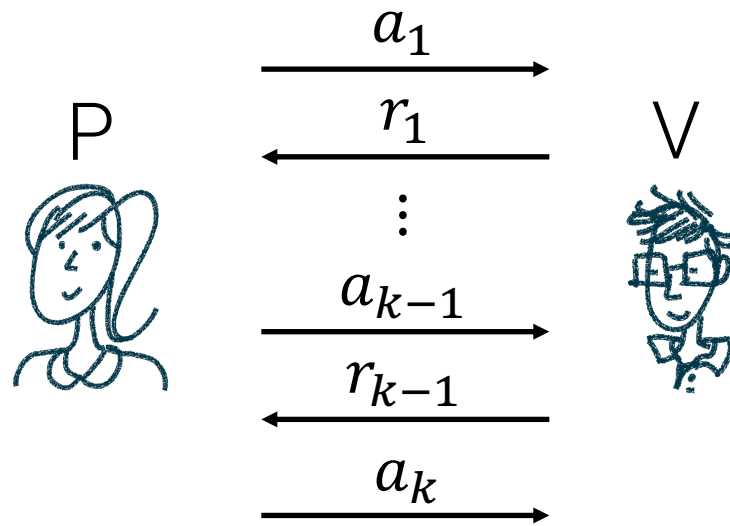
Is this secure?

Fiat-Shamir Heuristic [FS86]

Magical compiler that removes interaction from public-coin interactive protocols.

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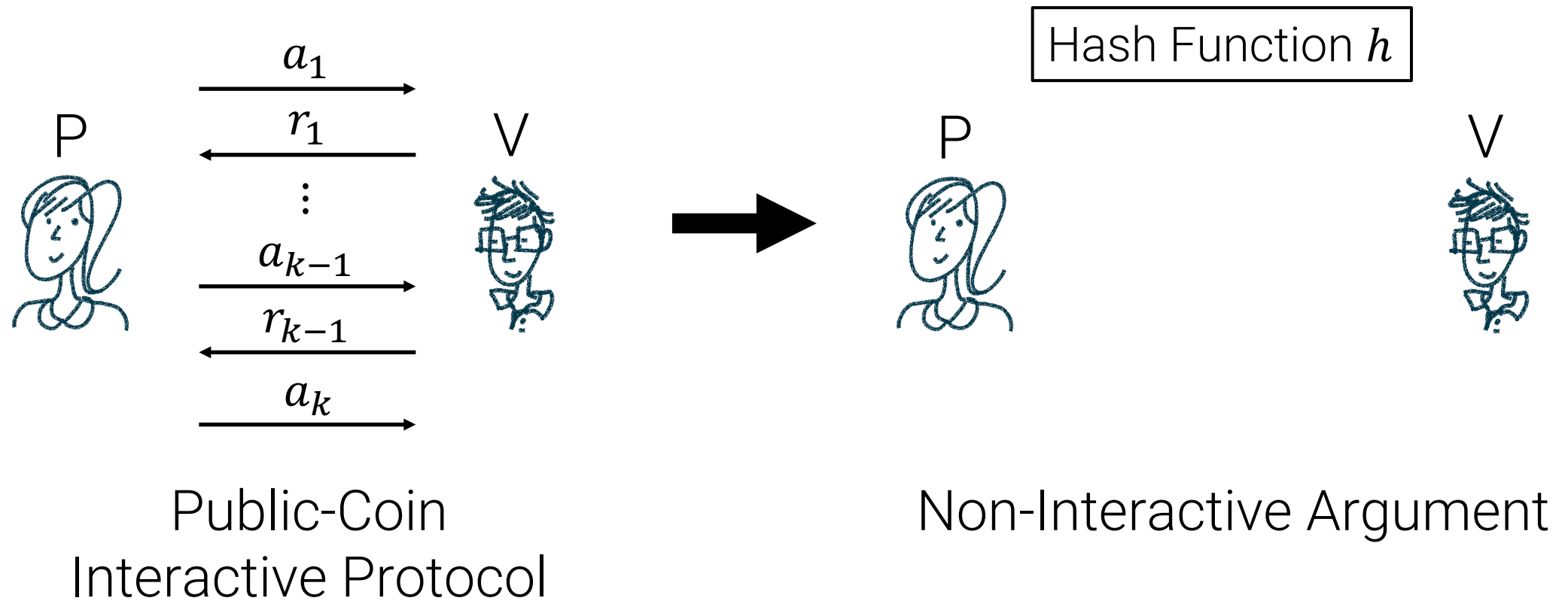
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Public-Coin
Interactive Protocol

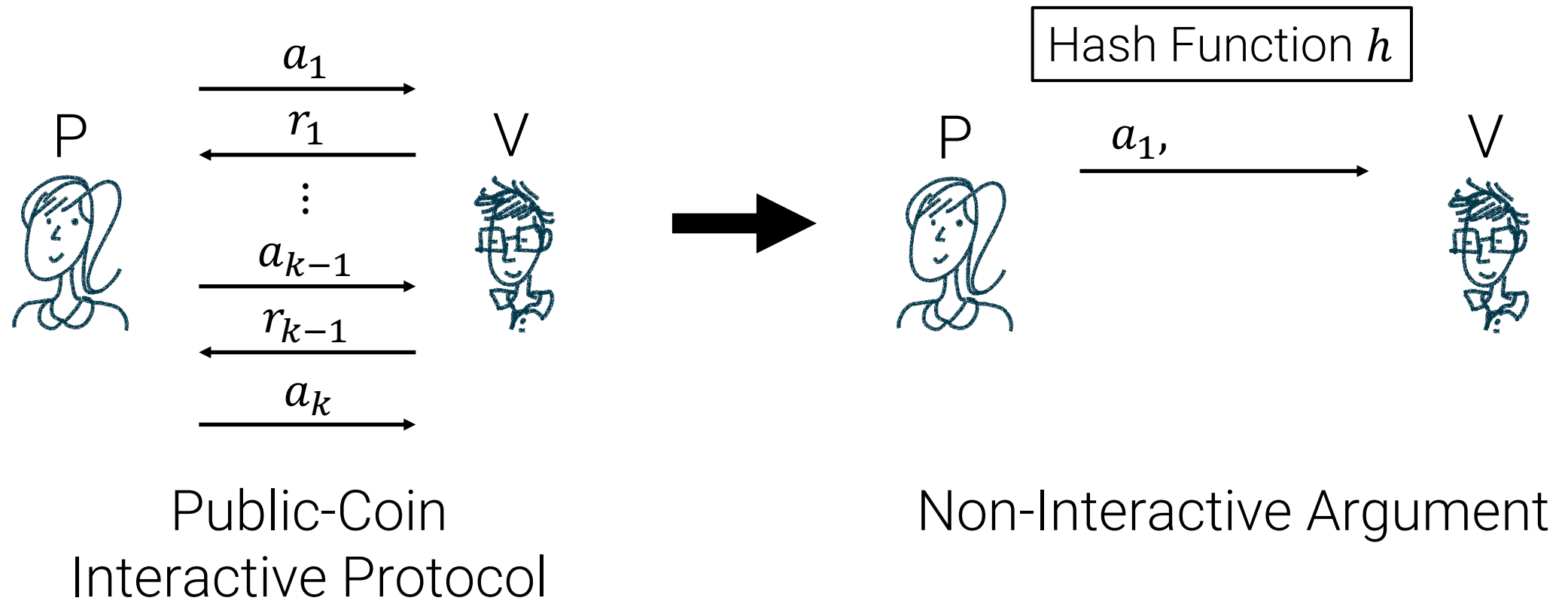
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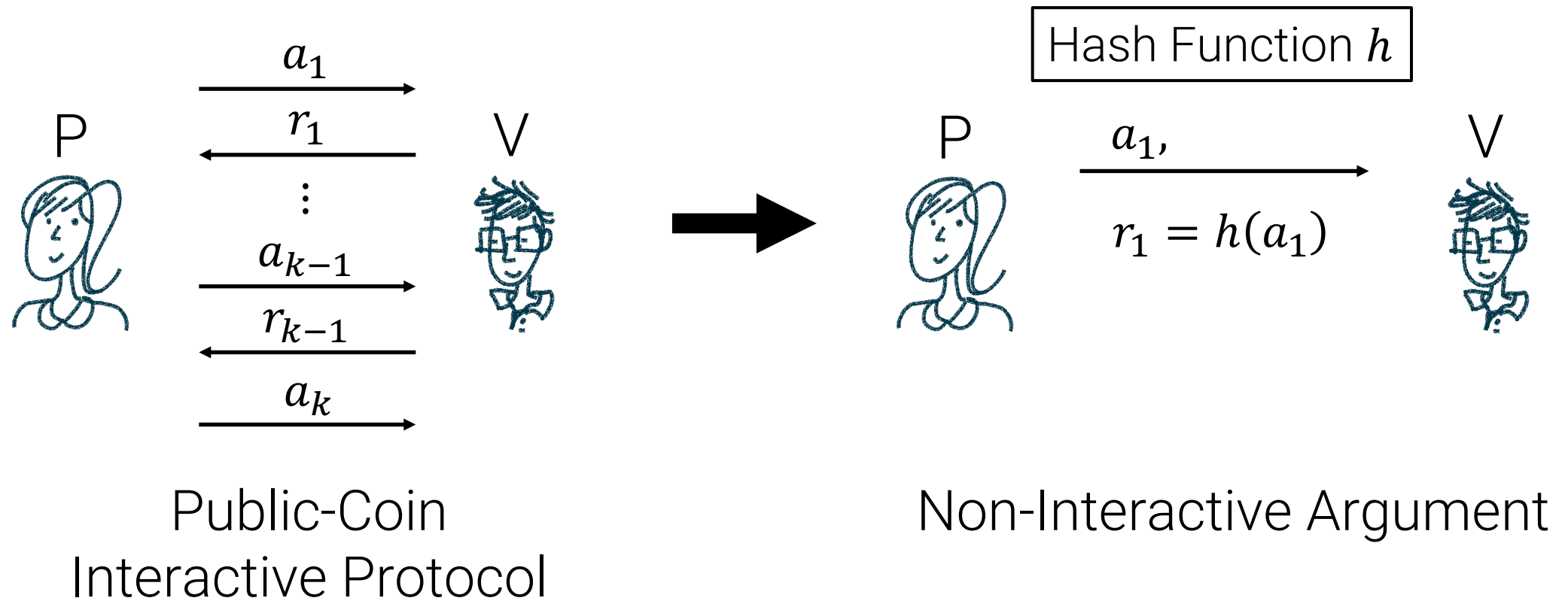
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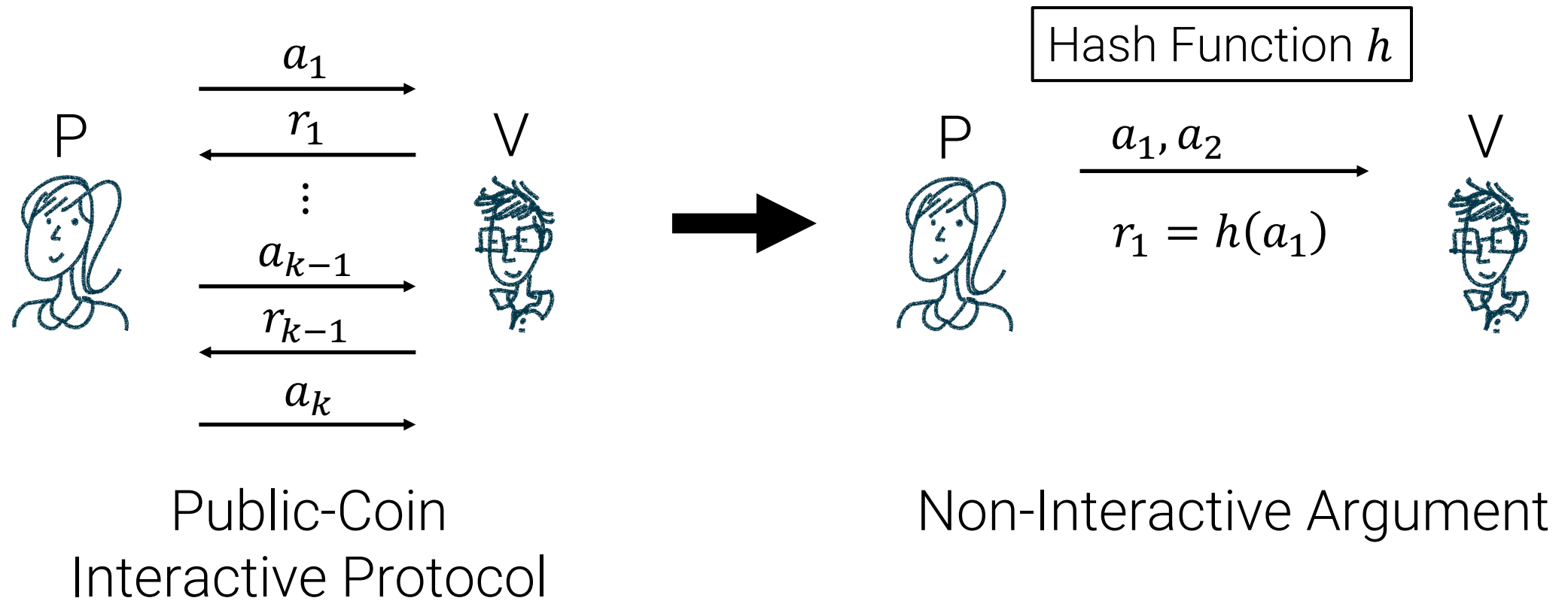
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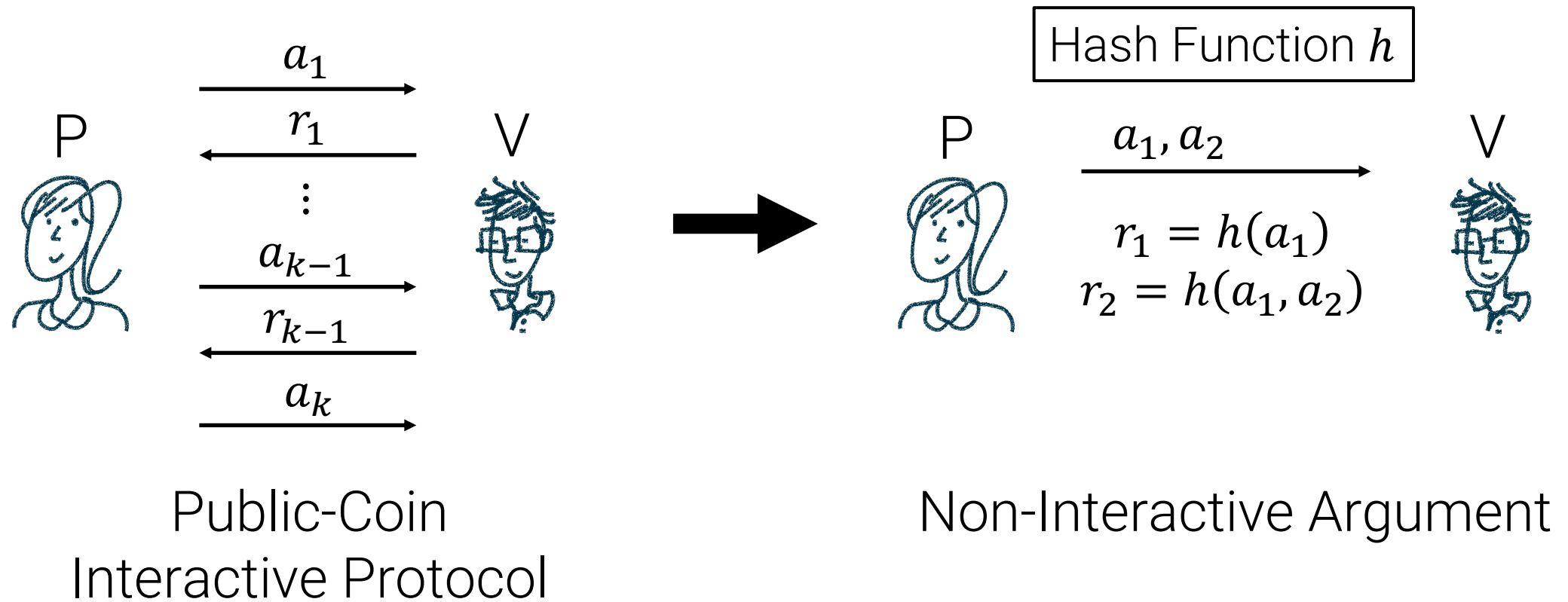
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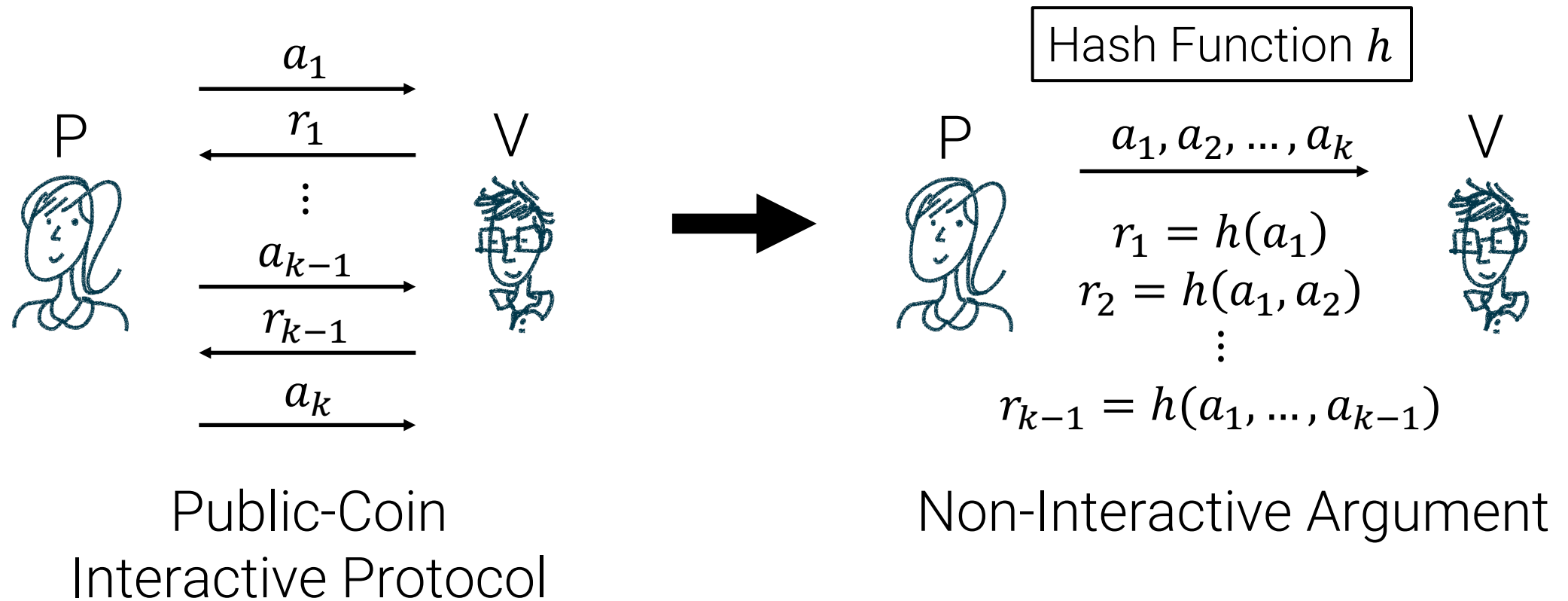
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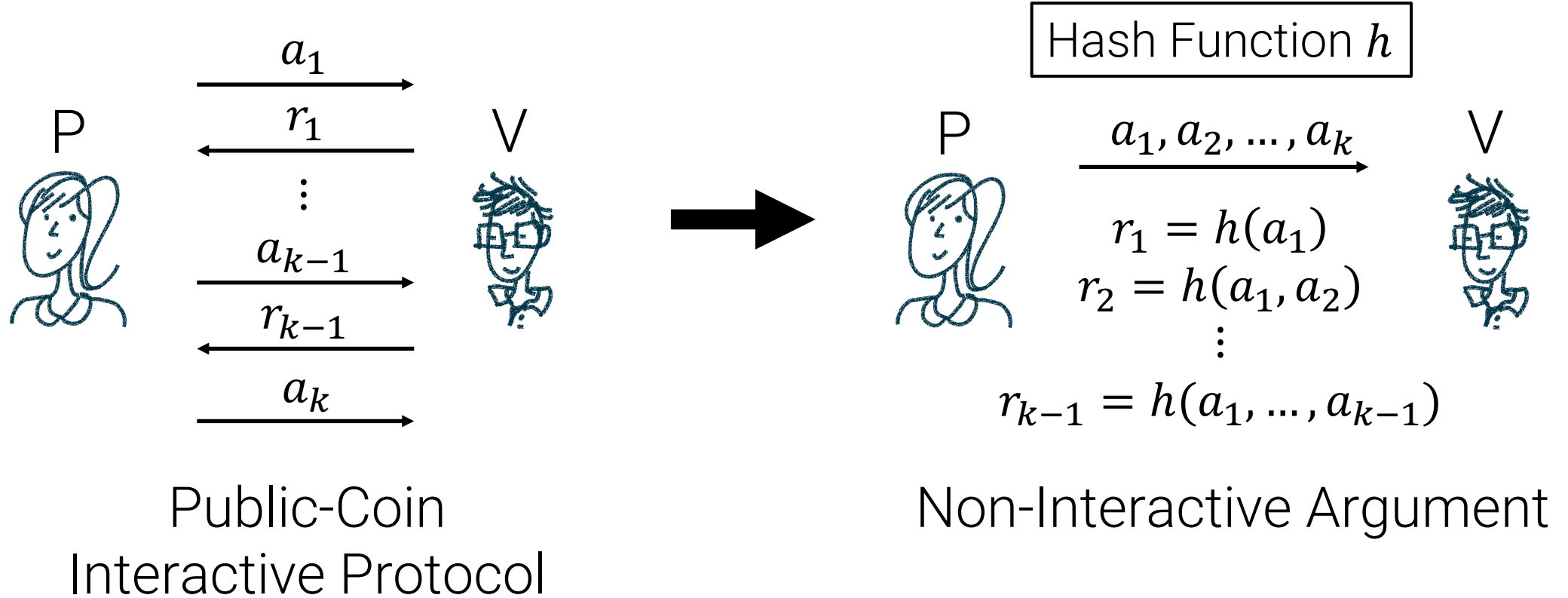
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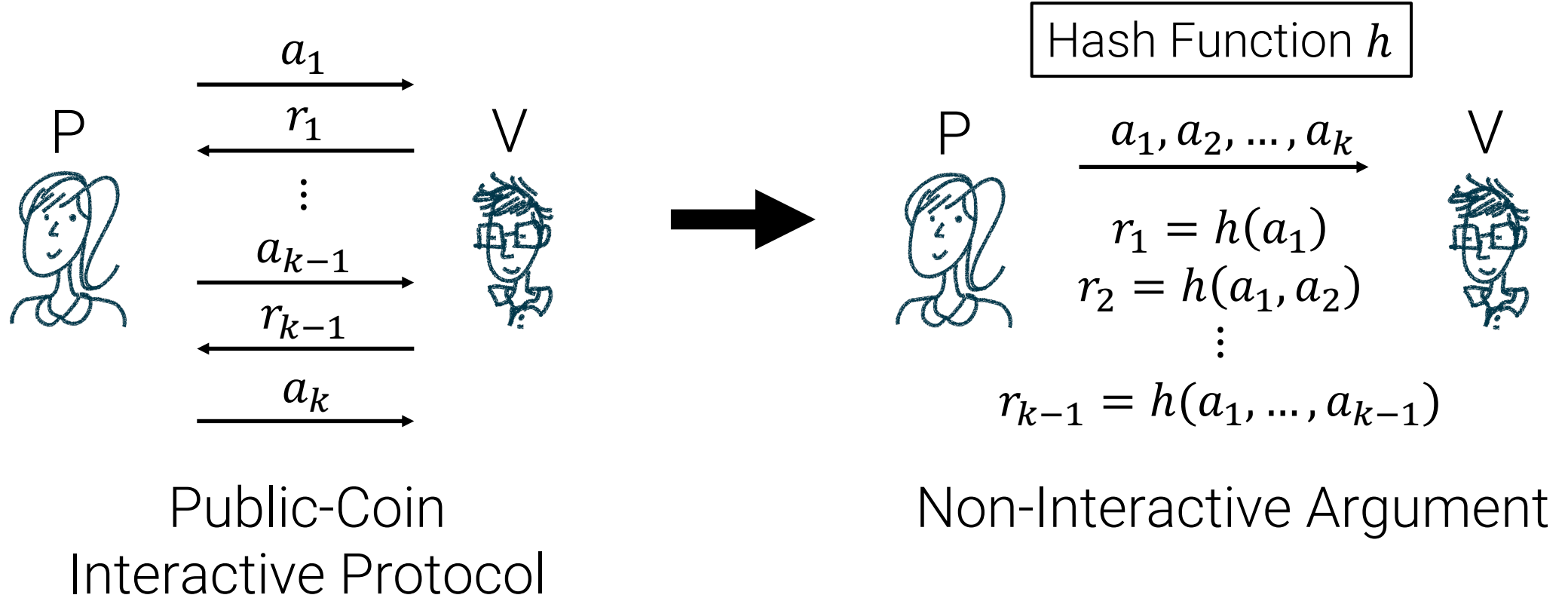
Fruitful approach:

Construct *interactive* protocol for some functionality (e.g., identification, verifiable computation), apply Fiat-Shamir.



Important Caveat:

Not immediately clear if soundness is preserved!



Goal 2:

Understand when the Fiat-Shamir transform preserves soundness.

In sum, this thesis addresses two challenges:

- 1) Our understanding of what constitutes an **efficient algorithm** has changed.
- 2) Our demands for how protocols **will be used** have changed.

Thesis Contributions

Part 1: Quantum

[CMSZ21]: Post-quantum succinct arguments via rewinding.

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[CL**M**Q21]: Investigate whether Fiat-Shamir hash function must be “cryptographic”

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This talk

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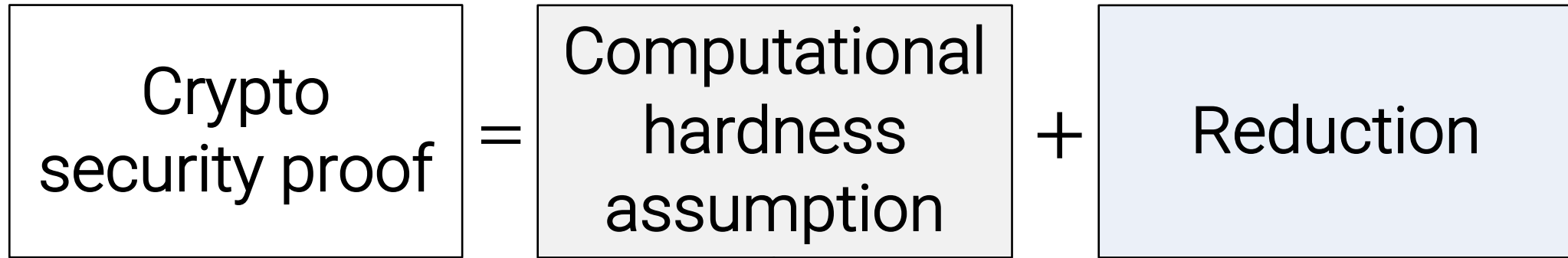
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Up next:

[CMSZ21]: Post-quantum succinct arguments via rewinding.

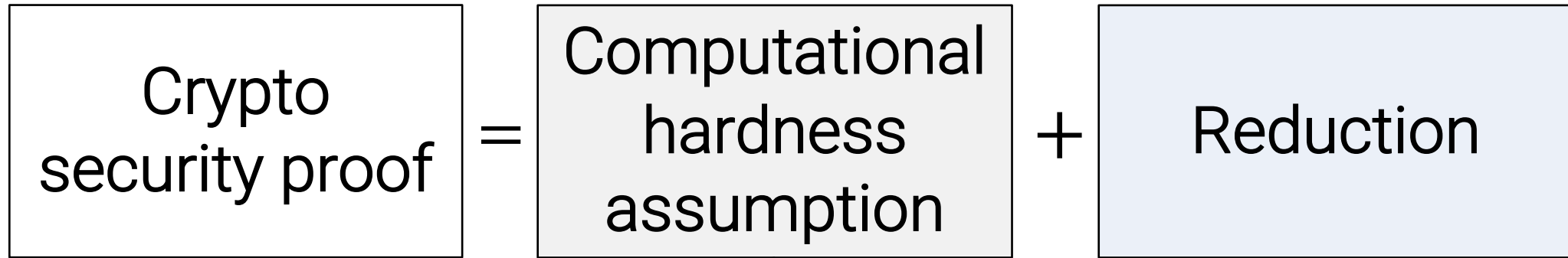
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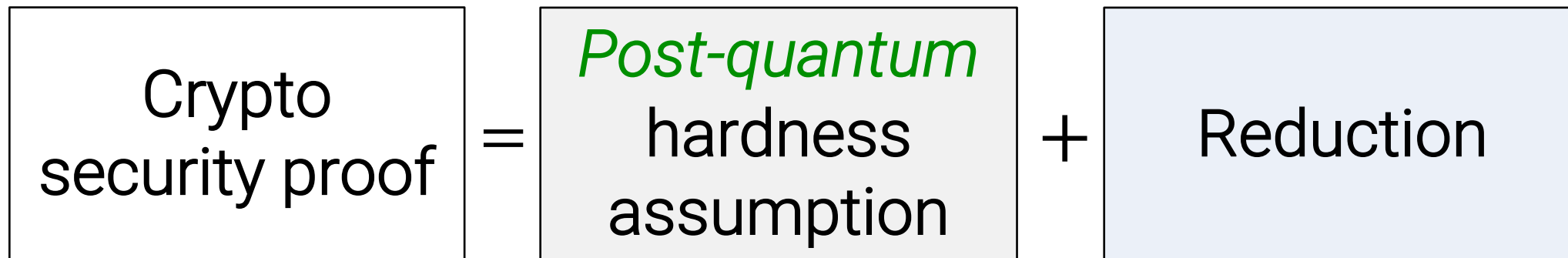
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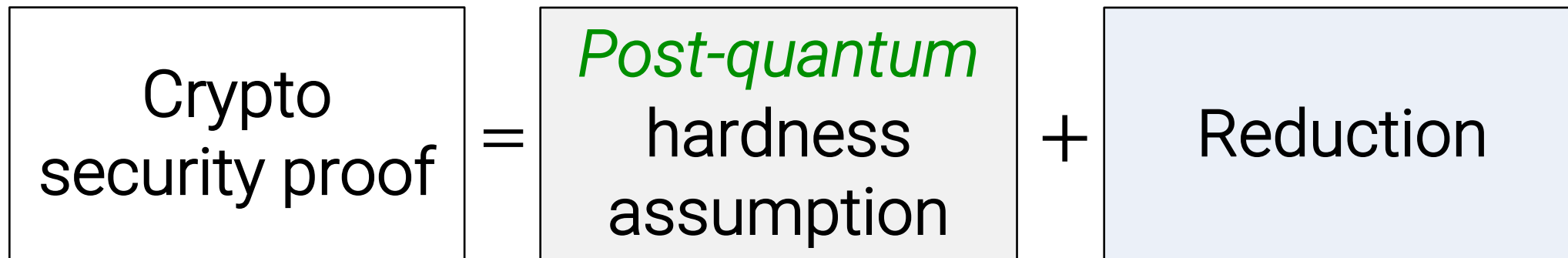
Immediate consequence: Shor's algorithm breaks commonly used assumptions

Post-Quantum Cryptography



Minimum requirement for *post-quantum* crypto:
hard problem should resist quantum attacks

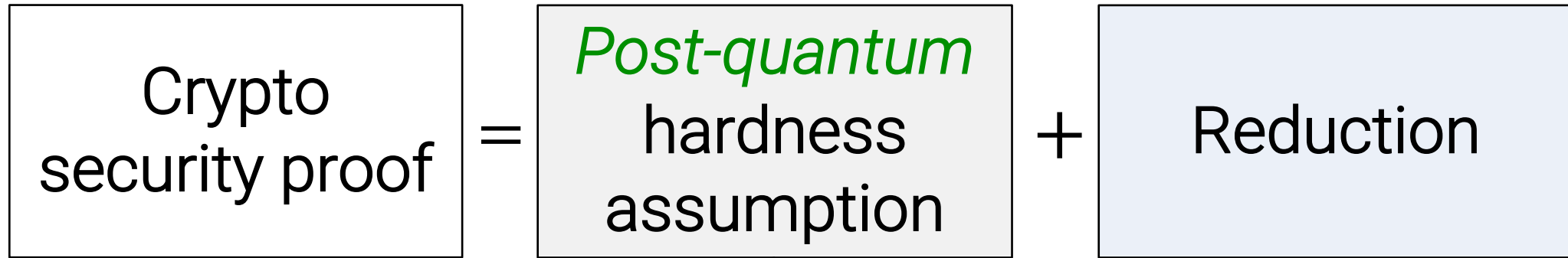
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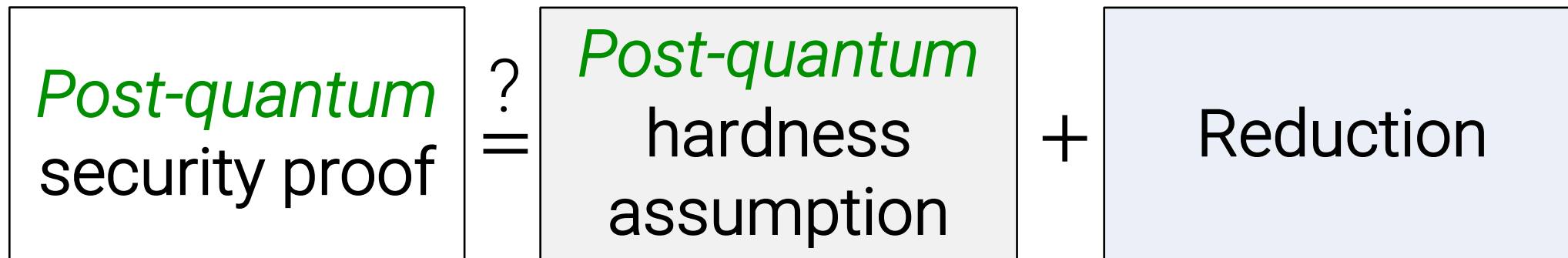


Ex: post-quantum one-way function, lattice problems, etc.

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Post-Quantum Cryptography



Important point:

the *security reduction* must be *quantum-compatible*!

Post-Quantum Cryptography

$$\boxed{\text{Post-quantum security proof}} = \boxed{\text{Post-quantum hardness assumption}} + \boxed{\text{Quantum-compatible Reduction}}$$

Important point:

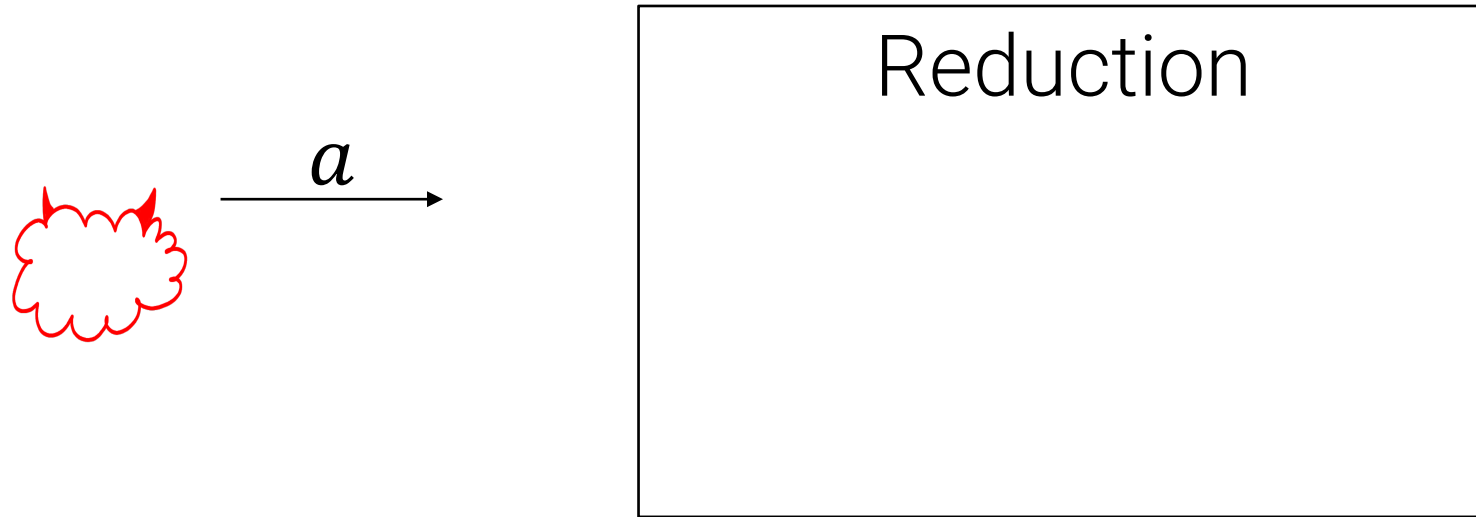
the *security reduction* must be *quantum-compatible*!

Reduction
must imply:

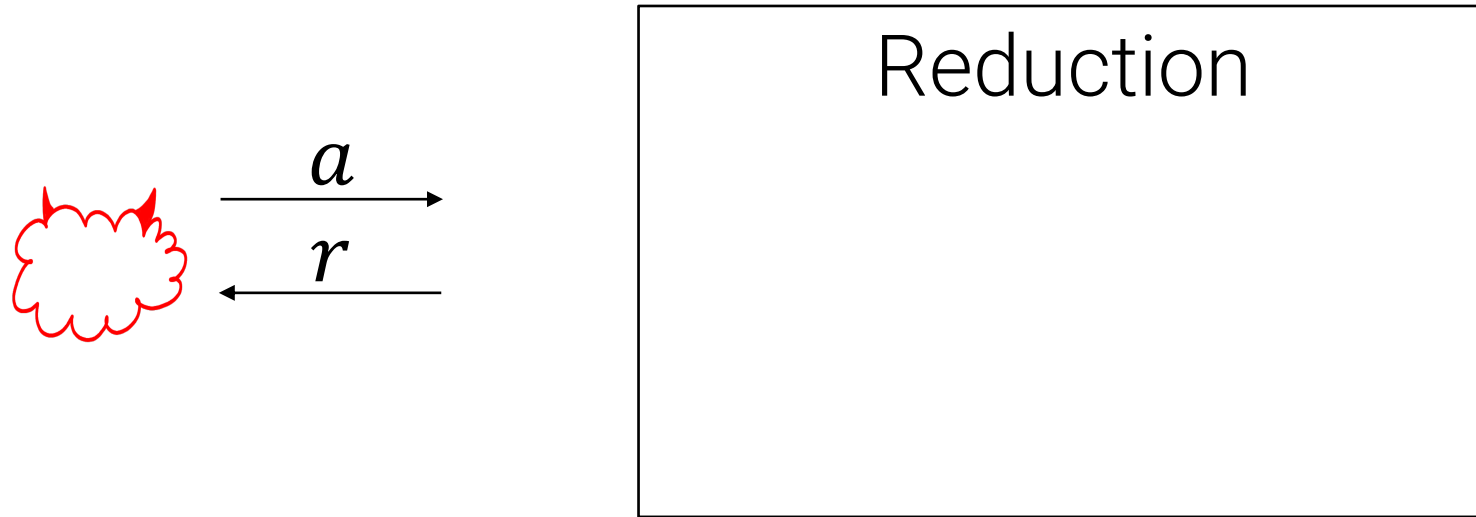
Any *quantum* attack on the protocol
→ *quantum* attack on the assumption

Some classical reductions are quantum-compatible, but problems arise if the reduction *rewinds the adversary*.

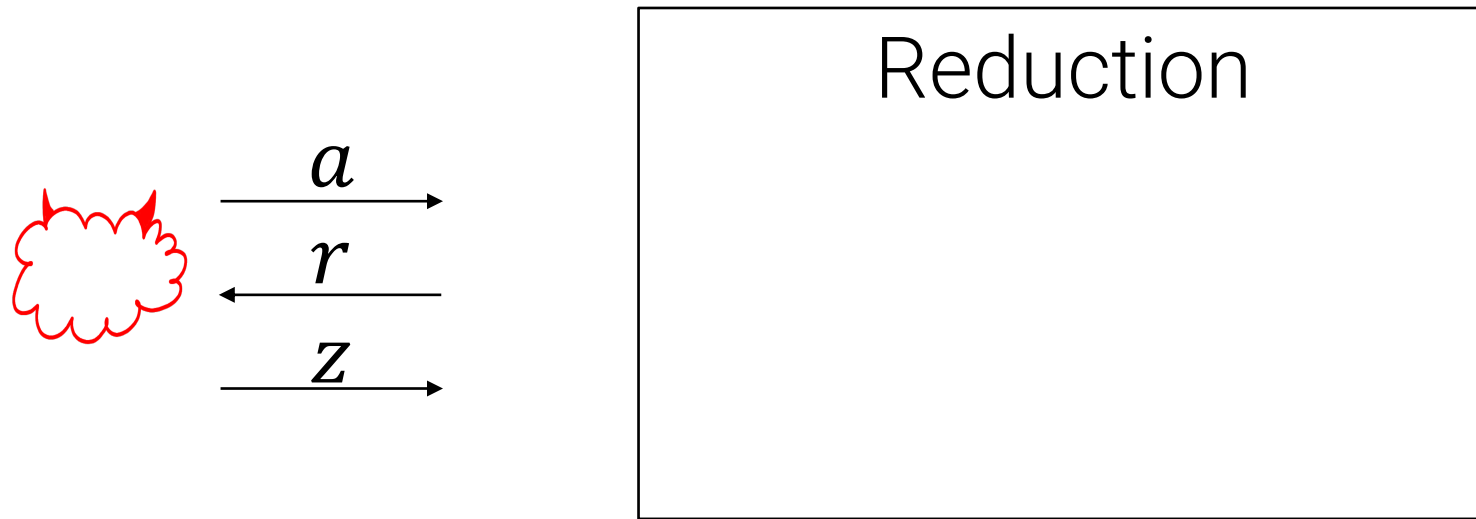
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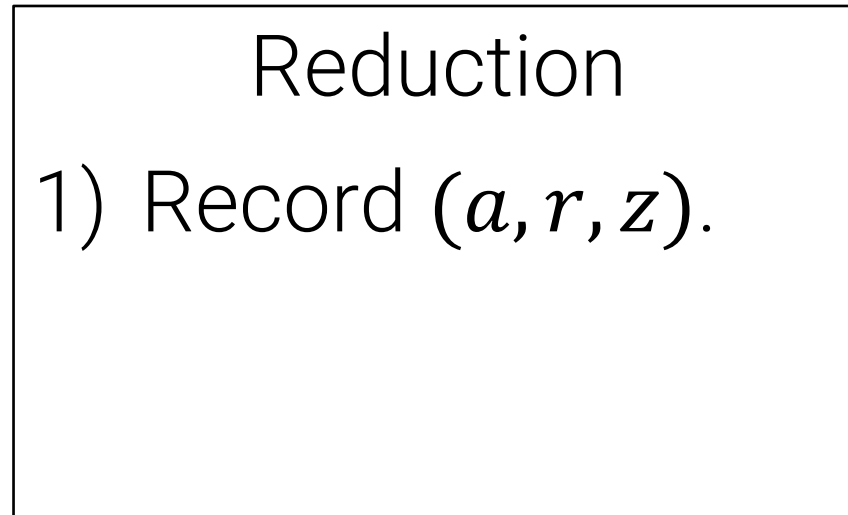
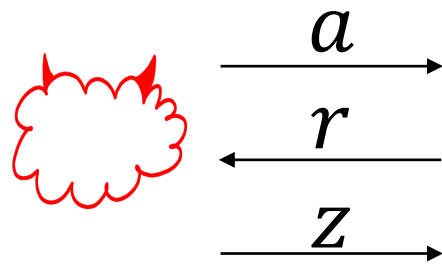
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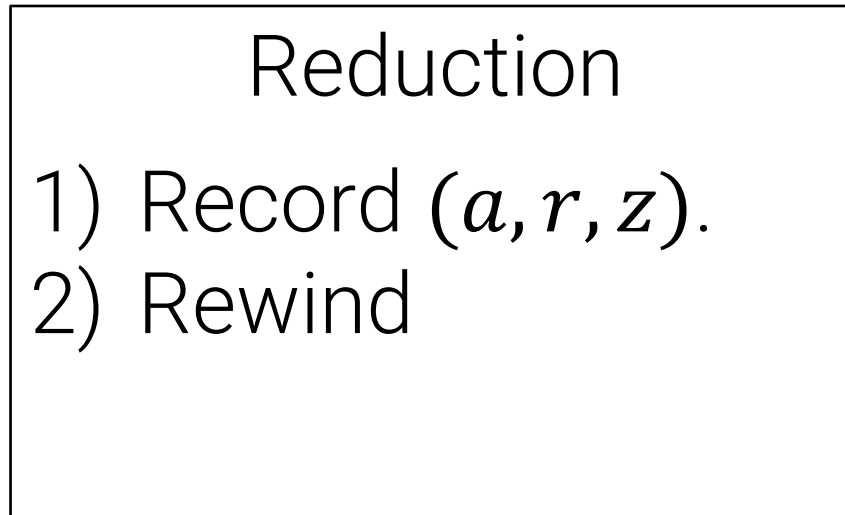
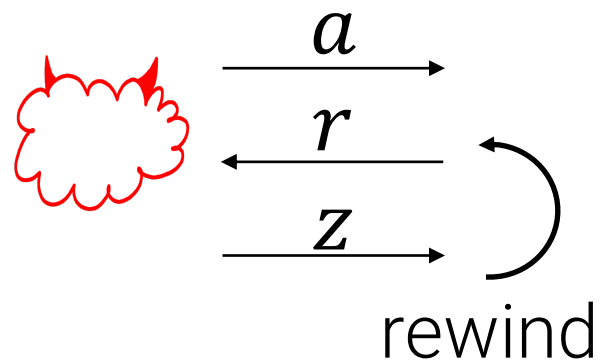
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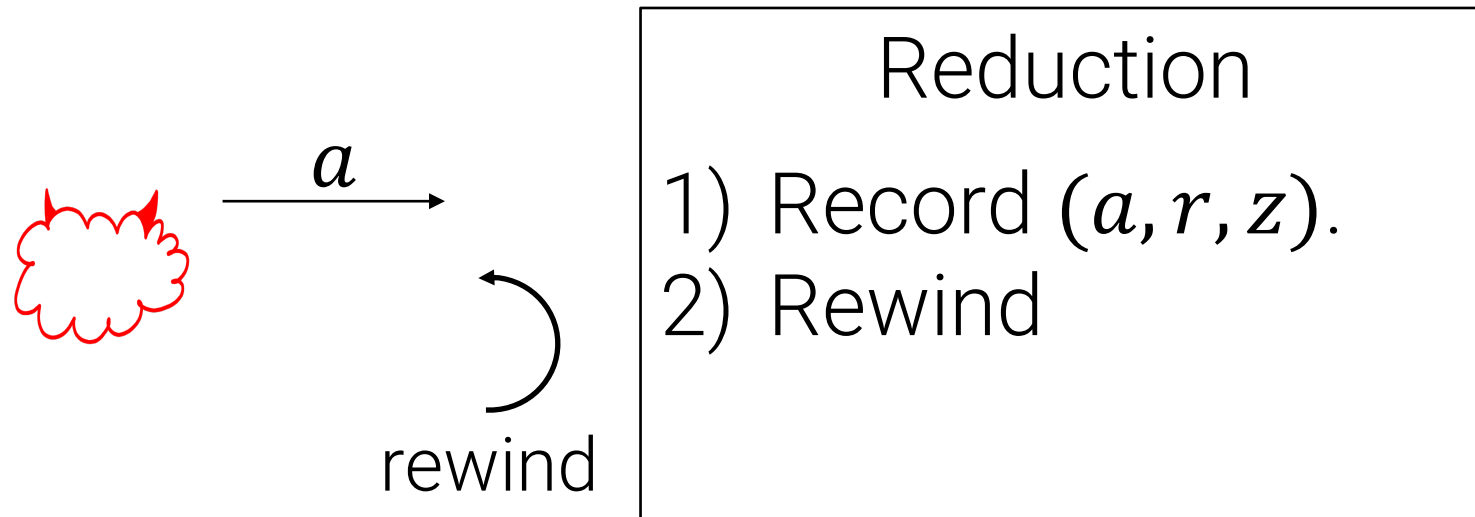
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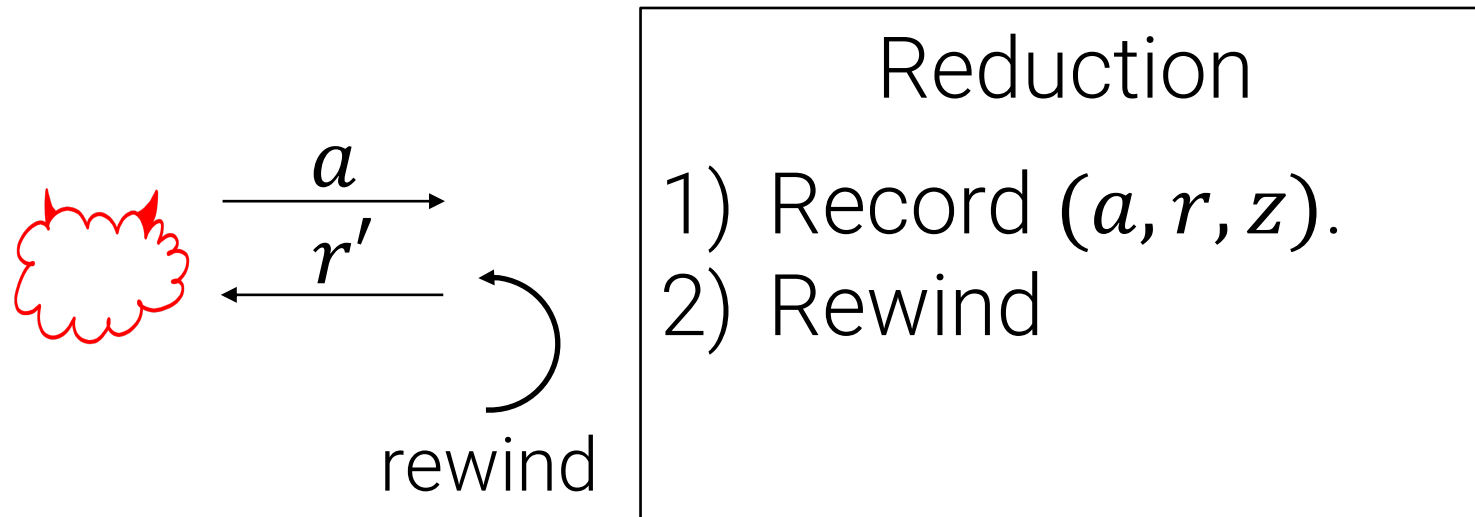
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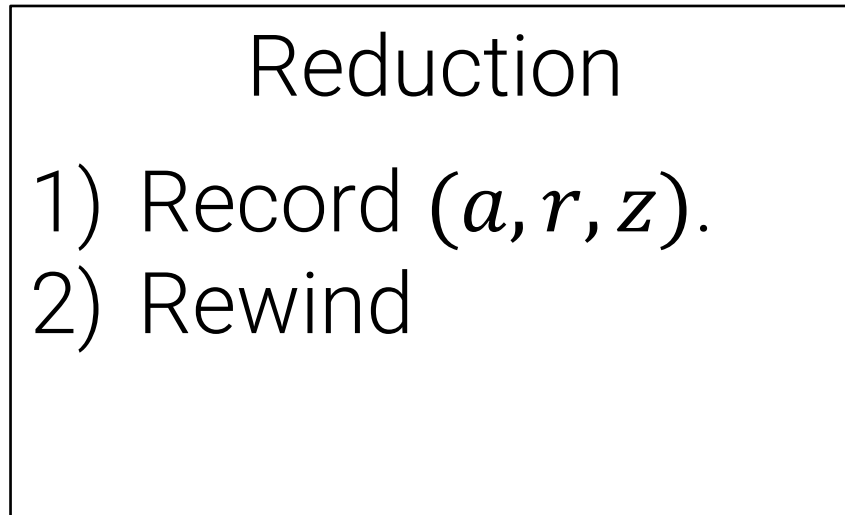
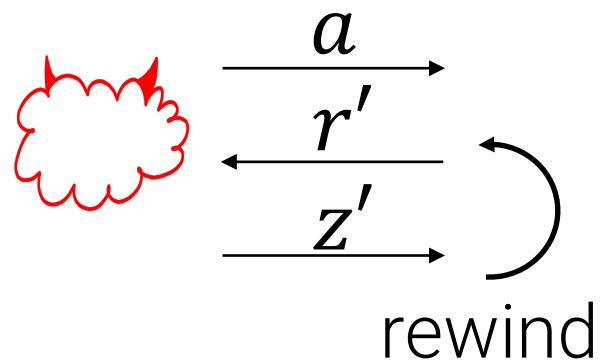
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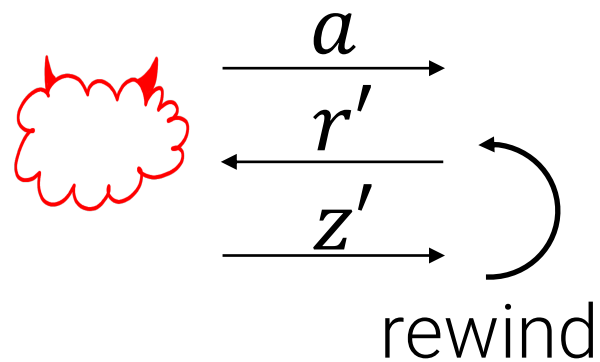
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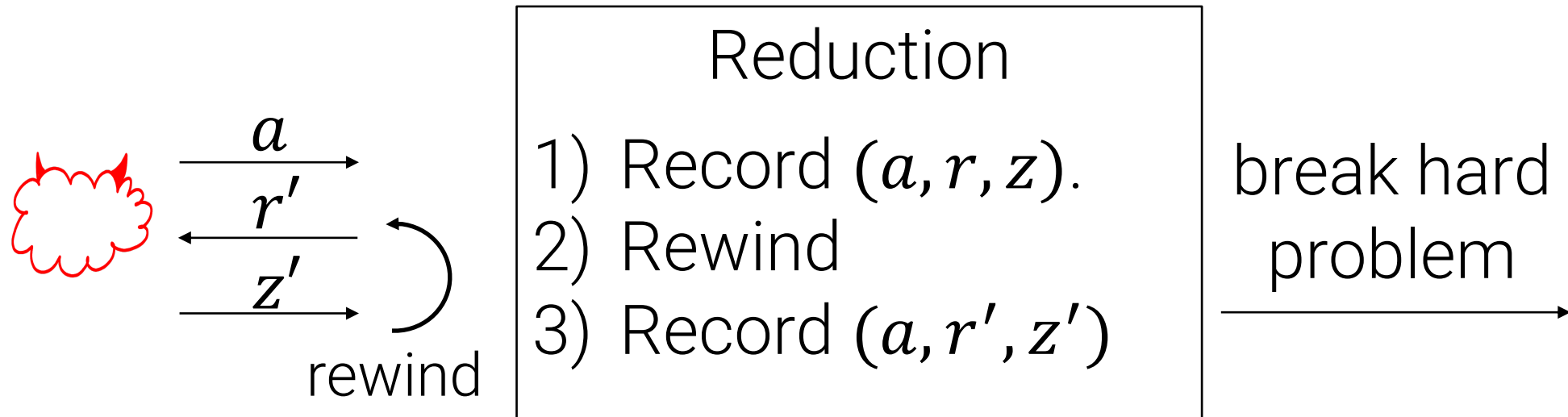


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- Reduction
- 1) Record (a, r, z) .
 - 2) Rewind
 - 3) Record (a, r', z')

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Problem: unclear how to rewind a quantum adversary since running the adversary may disturb its state!

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Some quantum rewinding techniques are known [Watrous06,U12], but have very limited applications.

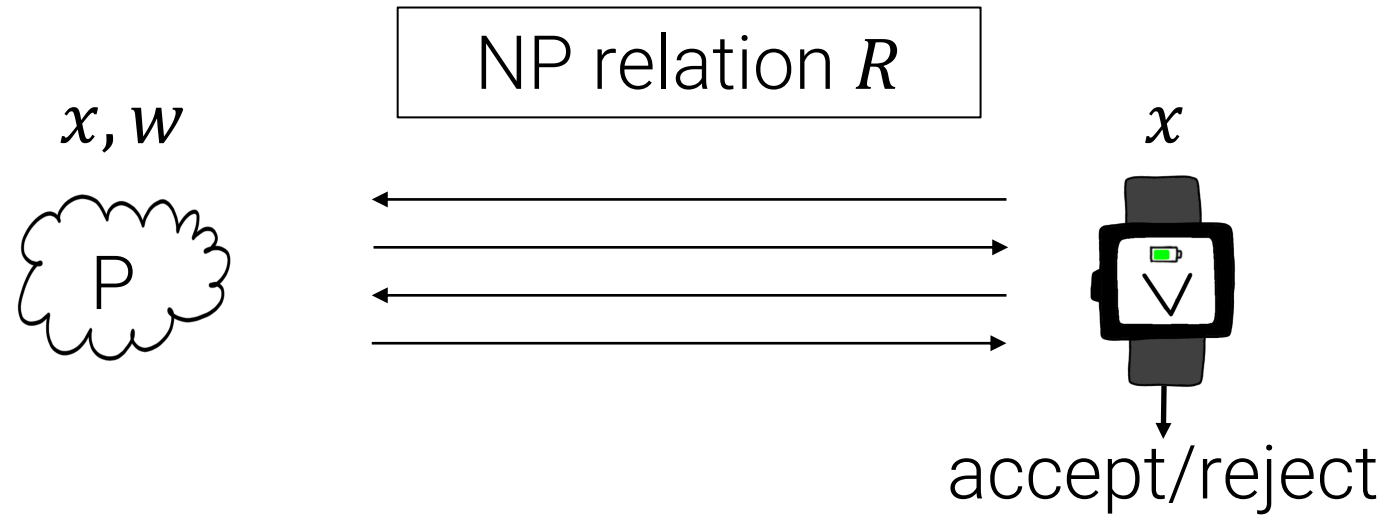
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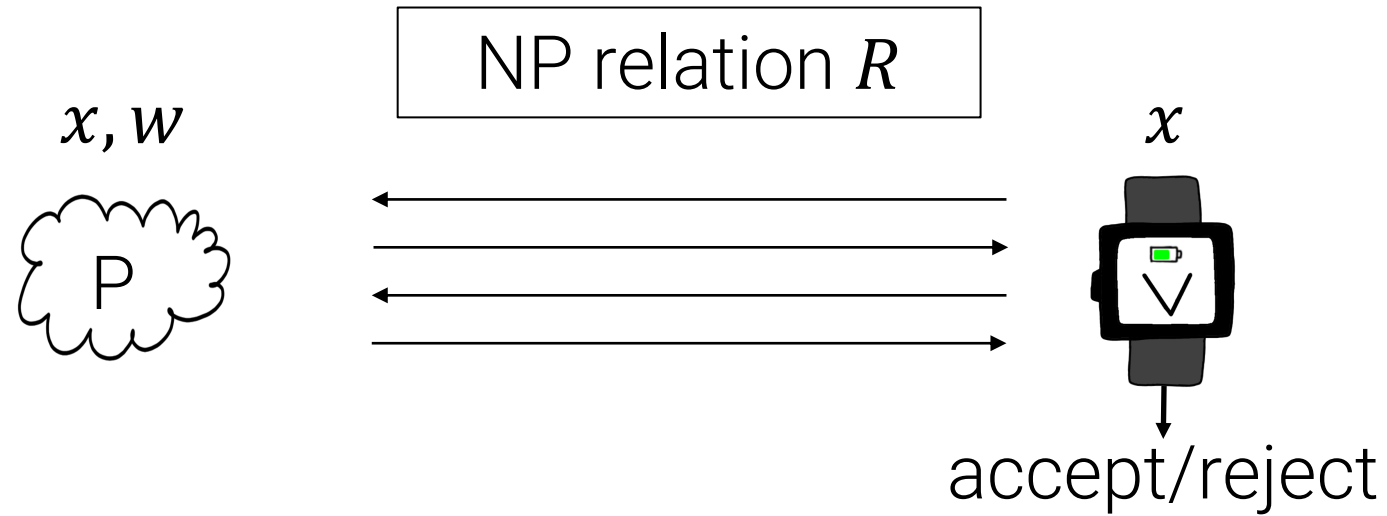
Important application where known techniques fail:

Succinct Arguments

Succinct Arguments for NP

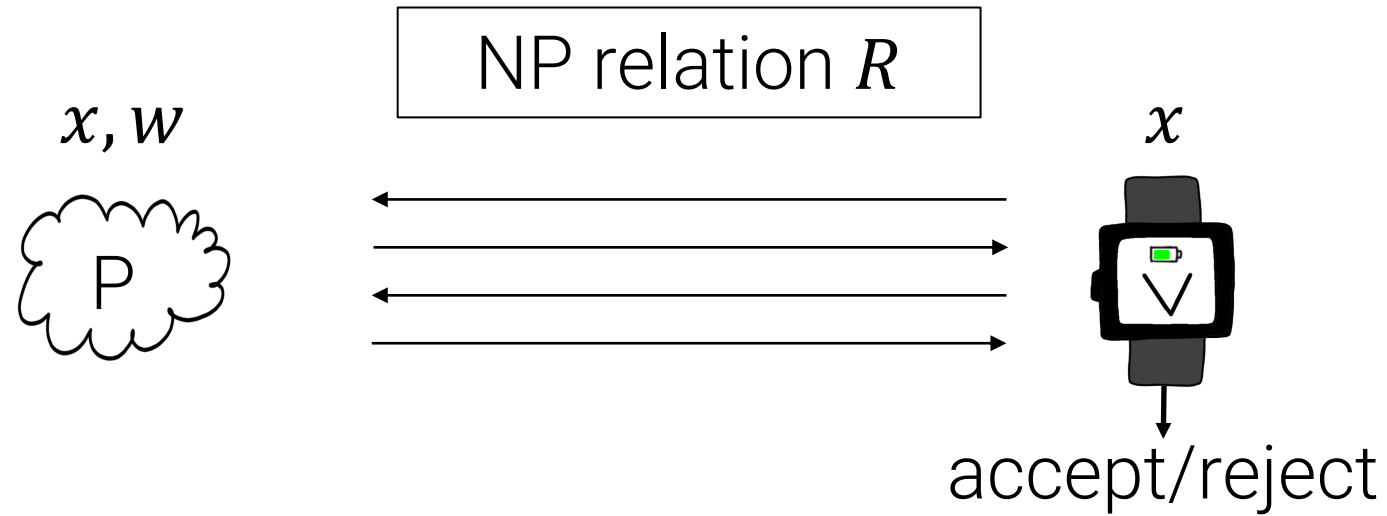


Succinct Arguments for NP



Succinct = $\text{poly}(\lambda, \log(|x| + |w|))$ communication.

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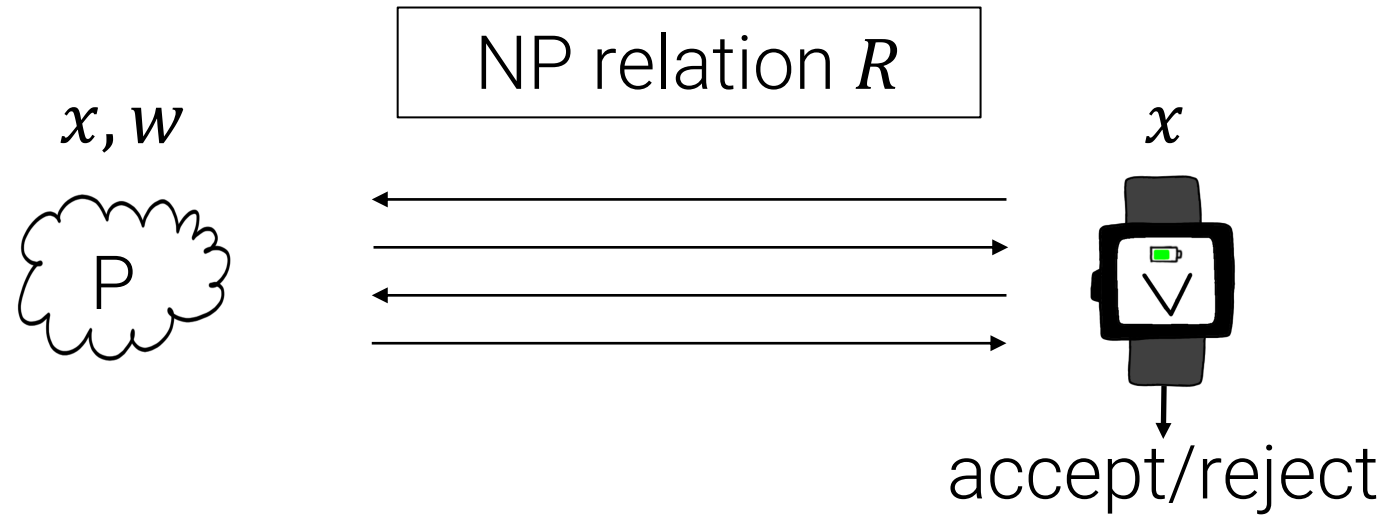


Succinct = $\text{poly}(\lambda, \log(|x| + |w|))$ communication.

Argument = complete + computationally sound

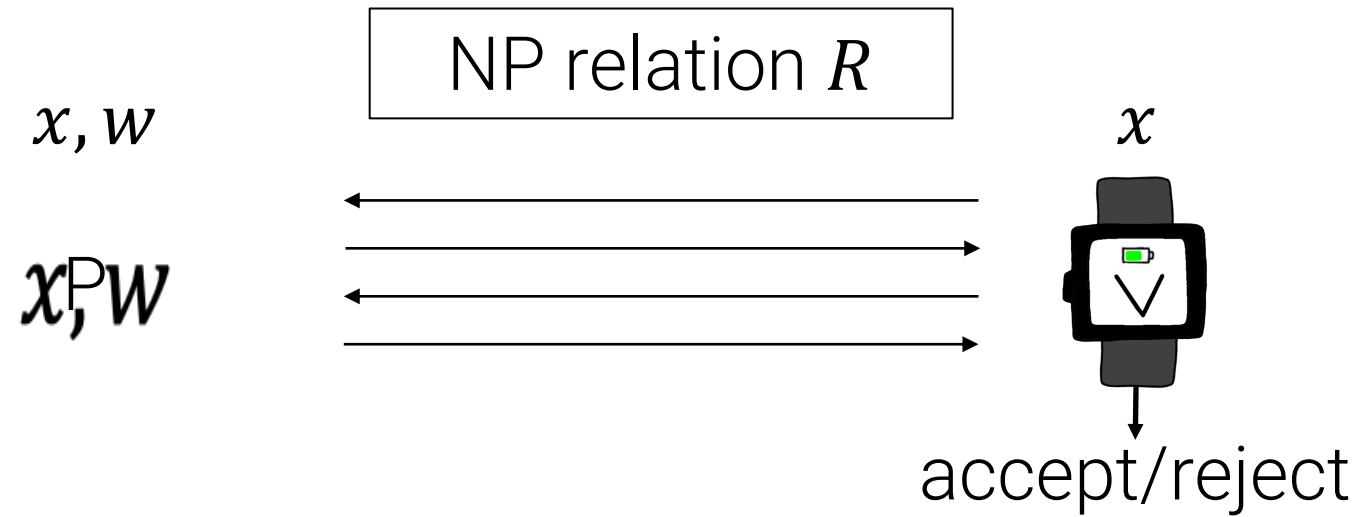
- Complete: if $(x, w) \in R$,  accepts.
- Sound: if $x \notin L(R)$, malicious poly-time  can't fool .

Succinct Arguments for NP



[Kilian92]: Succinct arguments for NP exist assuming collision-resistant hash functions (CRHFs).

Succinct Arguments for NP

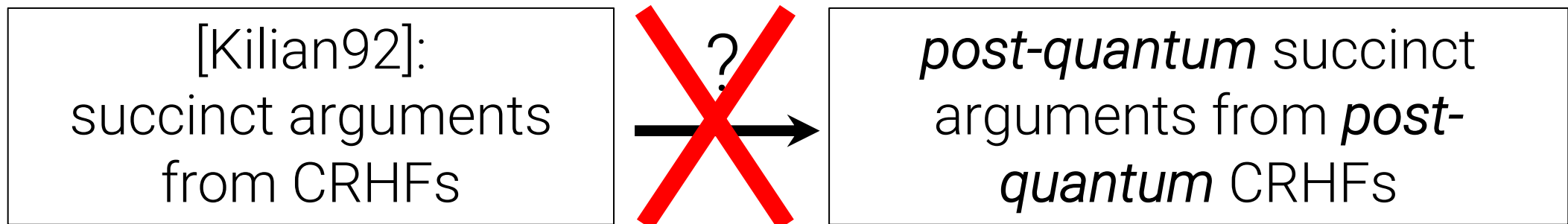


[Kilian92]: Succinct arguments for NP exist assuming collision-resistant hash functions (CRHFs).

Many applications: Succinct non-interactive arguments (SNARGs) [Micali94], Universal arguments [BG01], non-black-box zero knowledge [Barak01], ...

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[CMSZ21] Result

Kilian's protocol is post-quantum secure when instantiated with a post-quantum hash function*.

*collapsing hash function [U16]

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Technique

Extract **unbounded** number of accepting transcripts from quantum adversary.

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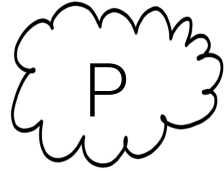
Technique

Extract **unbounded** number of accepting transcripts from quantum adversary.

Prior work [U12,U16]: extract **constant** number of transcripts.

Kilian's protocol

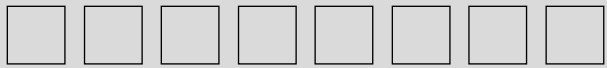
x, w



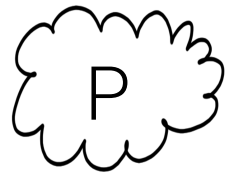
x



Encode w as PCP



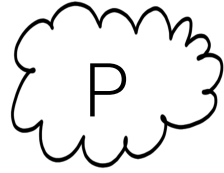
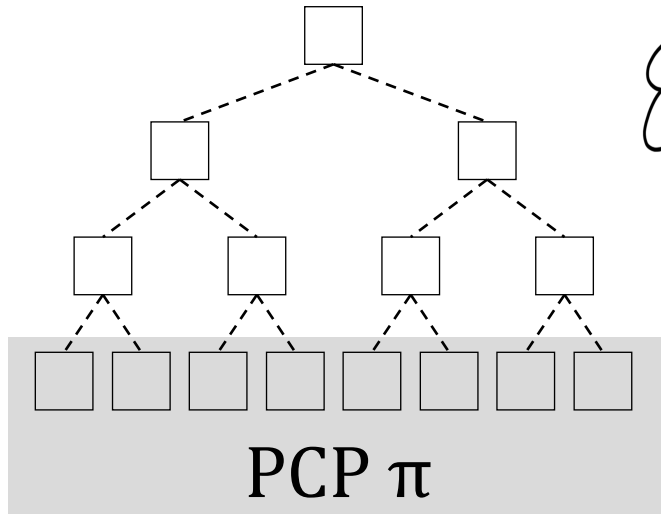
PCP π

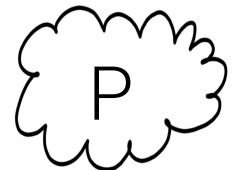


sends short commitment to PCP π .

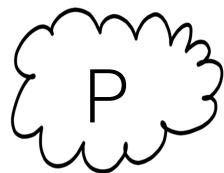
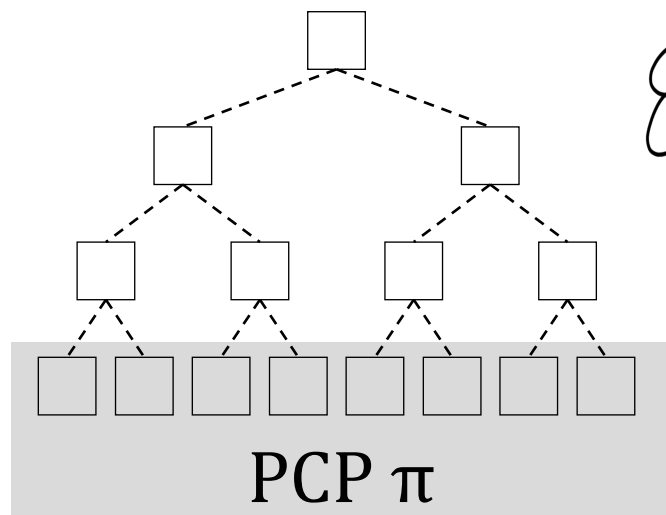
Kilian's protocol

$$\text{com} = \text{Merkle}_h(\pi) \quad x, w$$

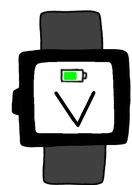
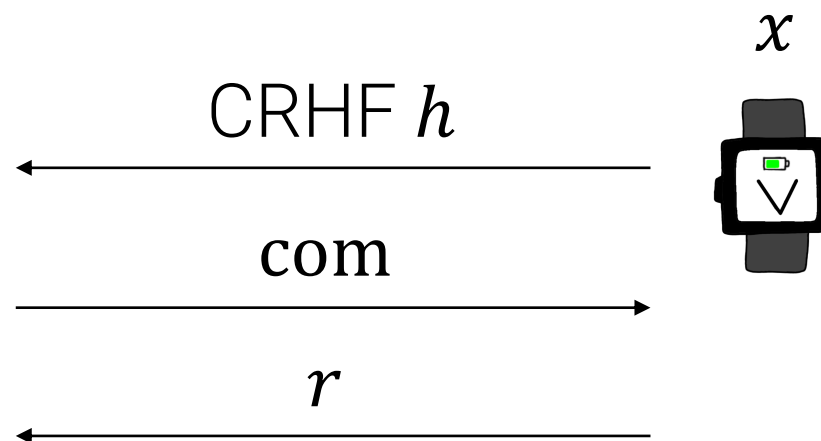


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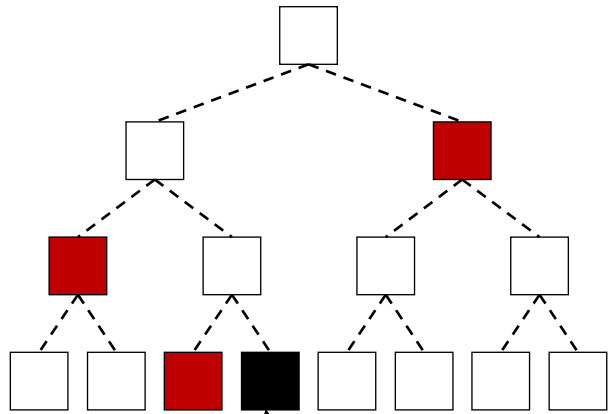
Kilian's protocol



samples PCP verifier coins $r \leftarrow R$.

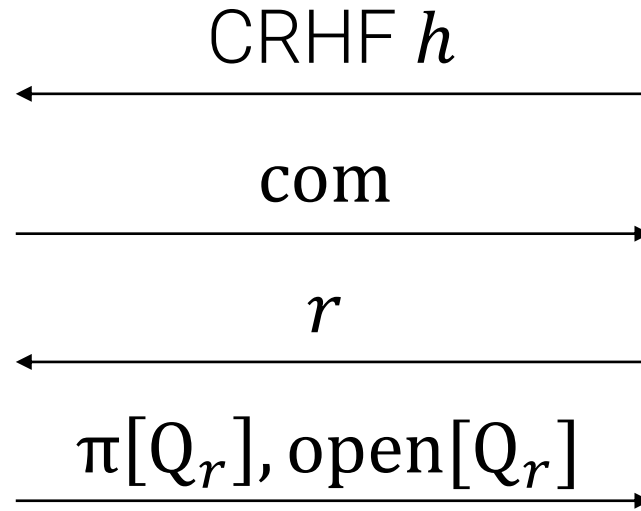
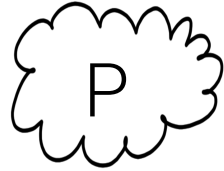
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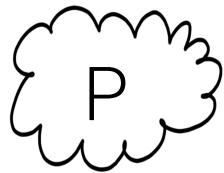
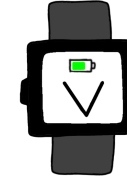


$\pi[Q_r]$

$\text{open}[Q_r] = \blacksquare$



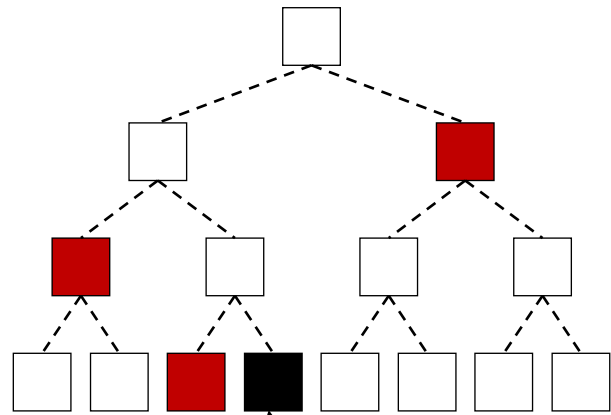
x



sends $\pi[Q_r]$ + short opening proofs

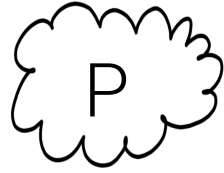
Q_r = indices PCP verifier
checks on random coins r

$$\text{com} = \text{Merkle}_h(\pi) \quad x, w$$

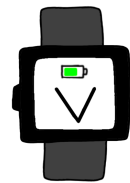
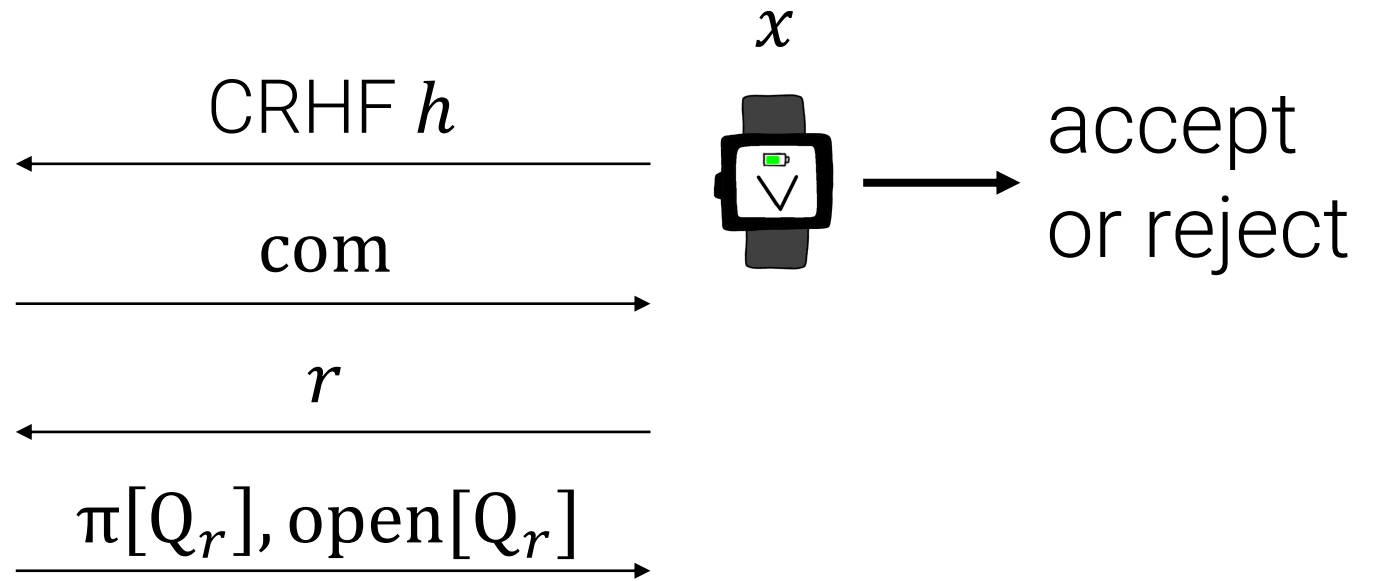


$\pi[Q_r]$

$\text{open}[Q_r] = \blacksquare$



Kilian's protocol



accepts if openings valid
+ PCP verifier accepts

Proving Security, Classically

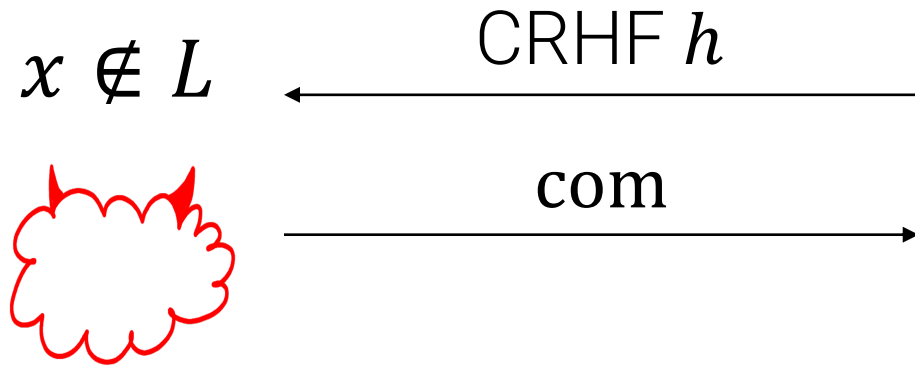
$x \notin L$



Reduction

Assume  fools  into accepting on $x \notin L$.

Proving Security, Classically

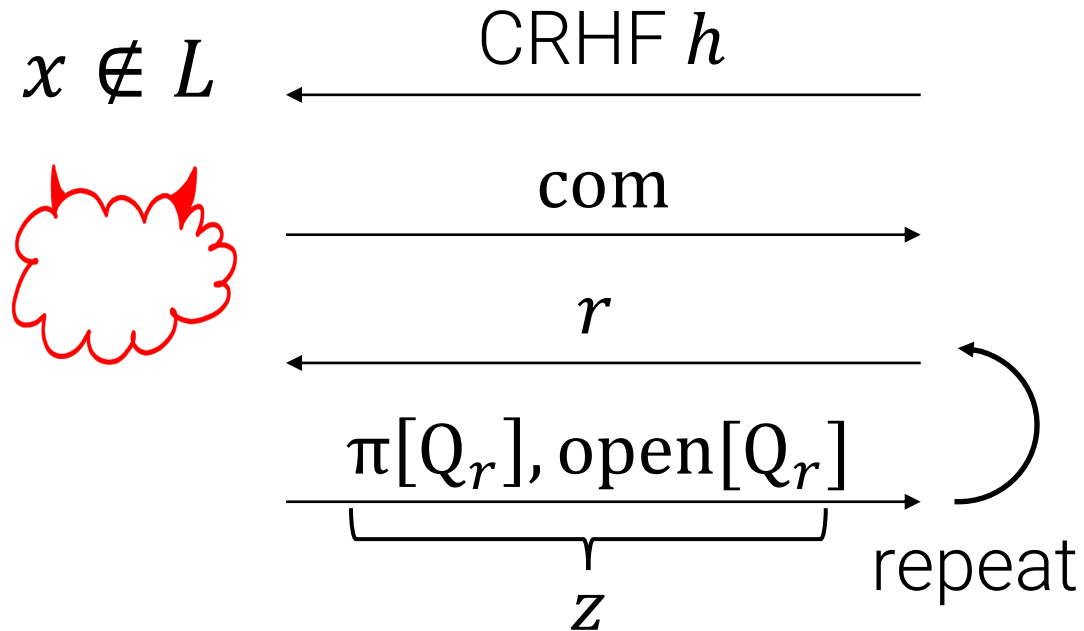


Reduction

1) Run  to get com.

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Proving Security, Classically

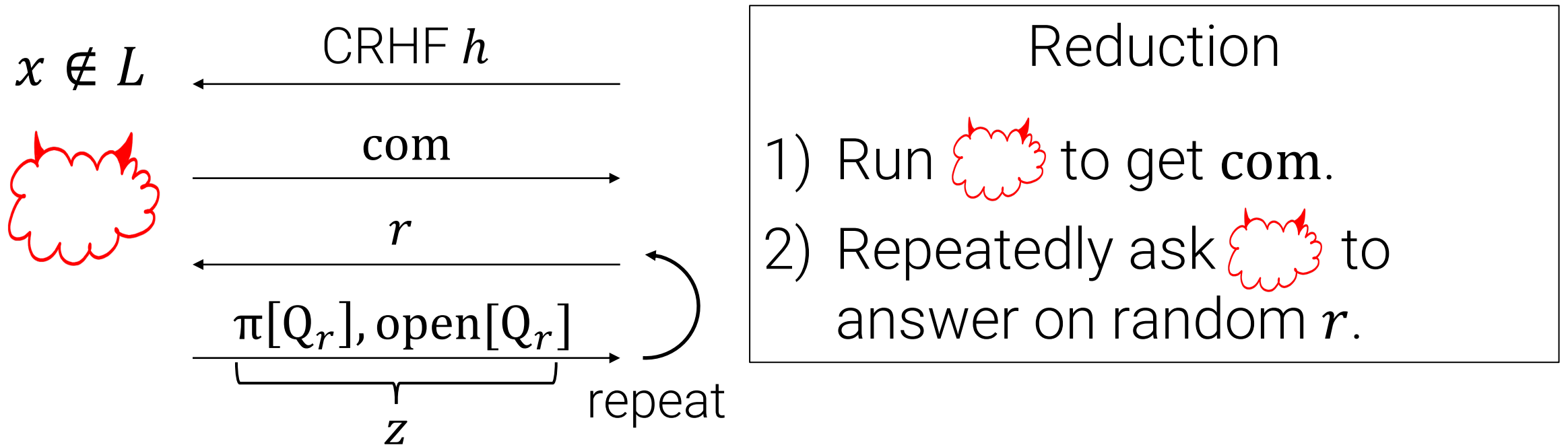


Reduction

- 1) Run  to get com .
- 2) Repeatedly ask  to answer on random r .

Reduction's goal: record *many* accepting transcripts (r_i, z_i)

Proving Security, Classically

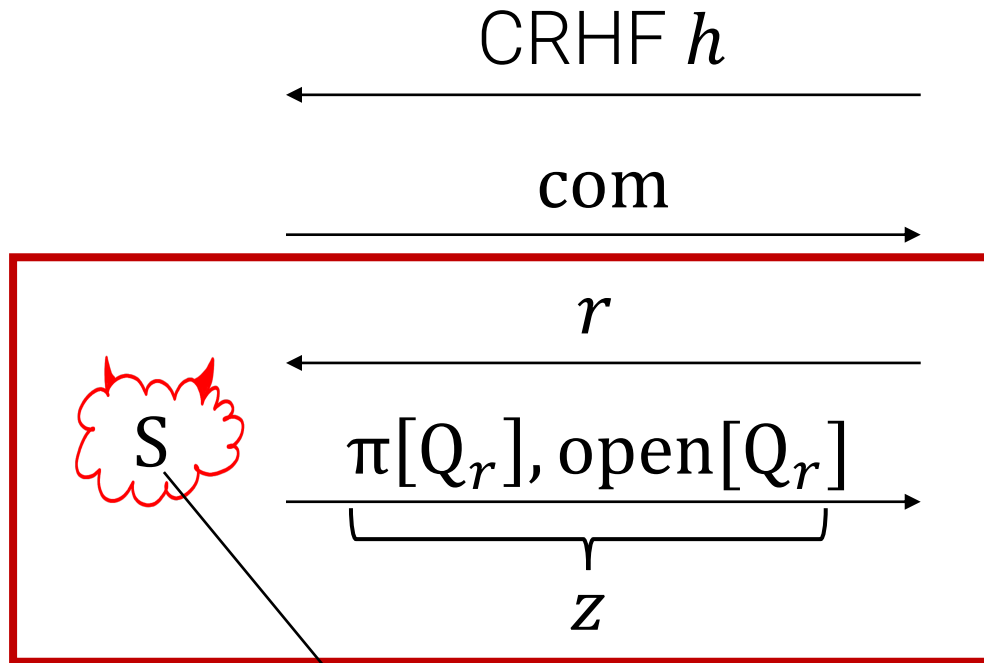


Reduction's goal: record *many* accepting transcripts (r_i, z_i)

Eventually finds impossible π OR collision.

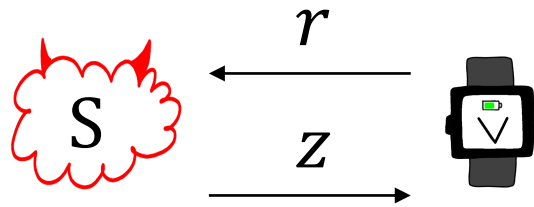
$\Pr[\text{PCP verifier accepts } \pi] > \text{PCP soundness error}$

Proving Security, Classically



S = internal state after first two messages

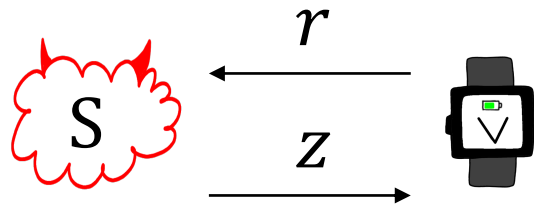
The Rewinding Problem



$$p := \Pr_{\substack{r \leftarrow R, \\ z \leftarrow S(r)}} [V \text{ accepts } (r, z)]$$

Goal: given S with large enough success probability p , obtain k accepting transcripts (r_i, z_i) .

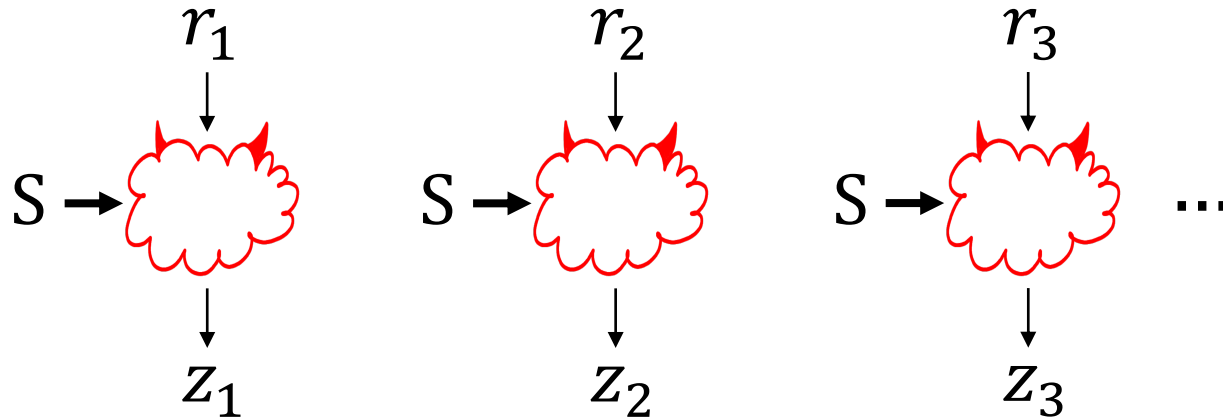
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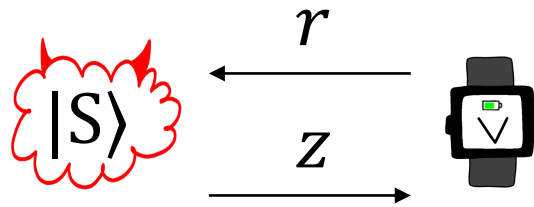
Goal: given S with large enough success probability p , obtain k accepting transcripts (r_i, z_i) .

If S is classical, perform **independent trials**:



obtain k accepting transcripts in k/p trials (expected)

The Rewinding Problem

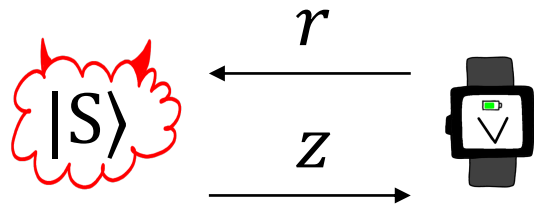


$$p := \Pr_{\substack{r \leftarrow R, \\ z \leftarrow |S\rangle(r)}} [\text{watch accepts } (r, z)]$$

Goal: given $|S\rangle$ with large enough success probability p , obtain k accepting transcripts (r_i, z_i) .

What happens when $|S\rangle$ is quantum?

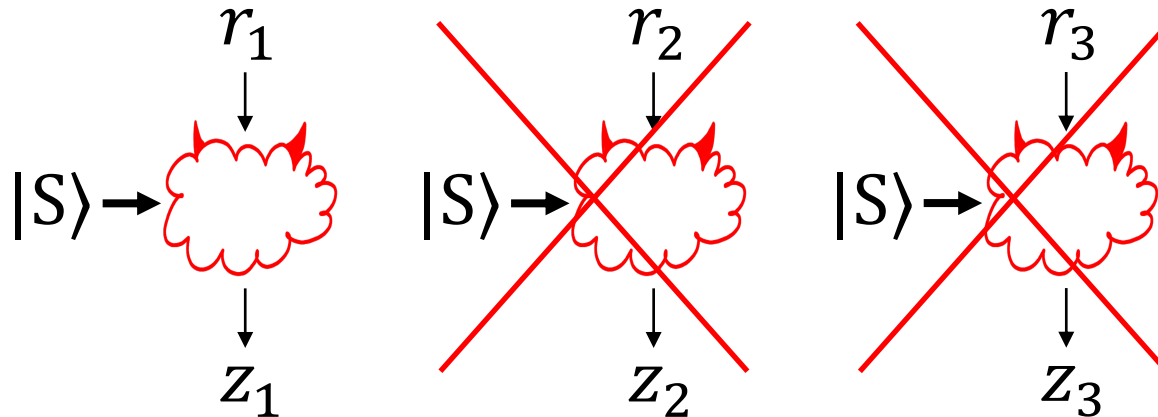
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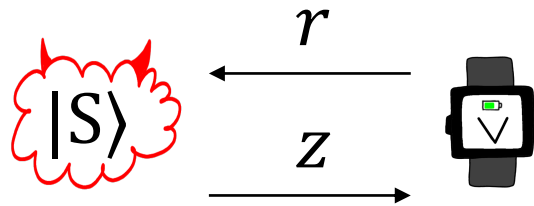
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Independent trials violate no-cloning!



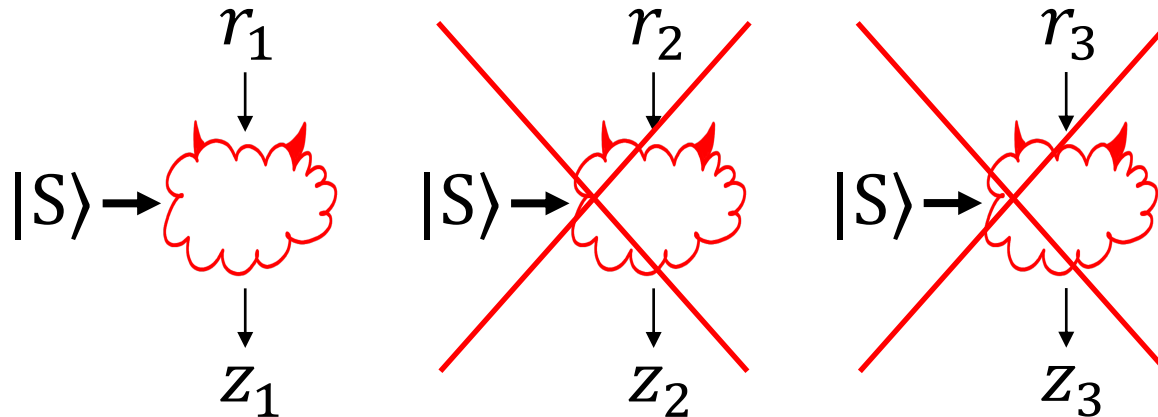
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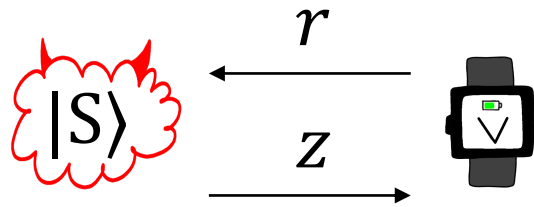
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Run next trial on leftover state from previous trial

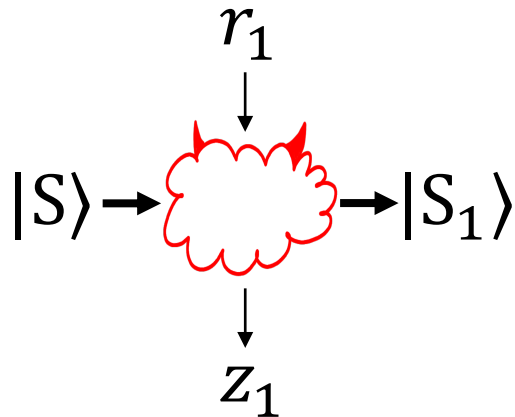
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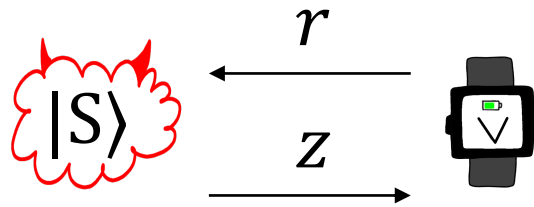
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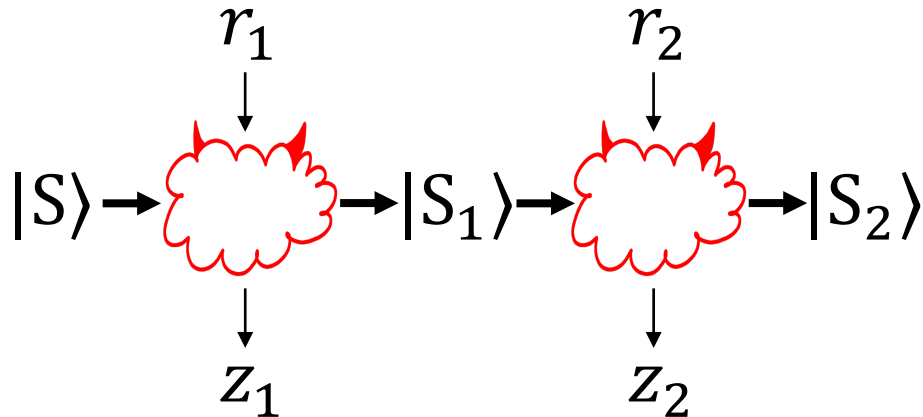
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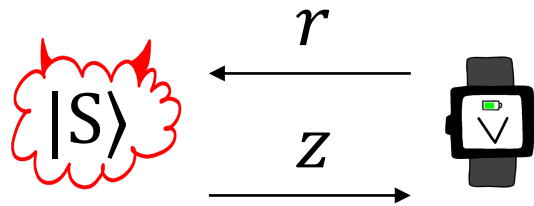
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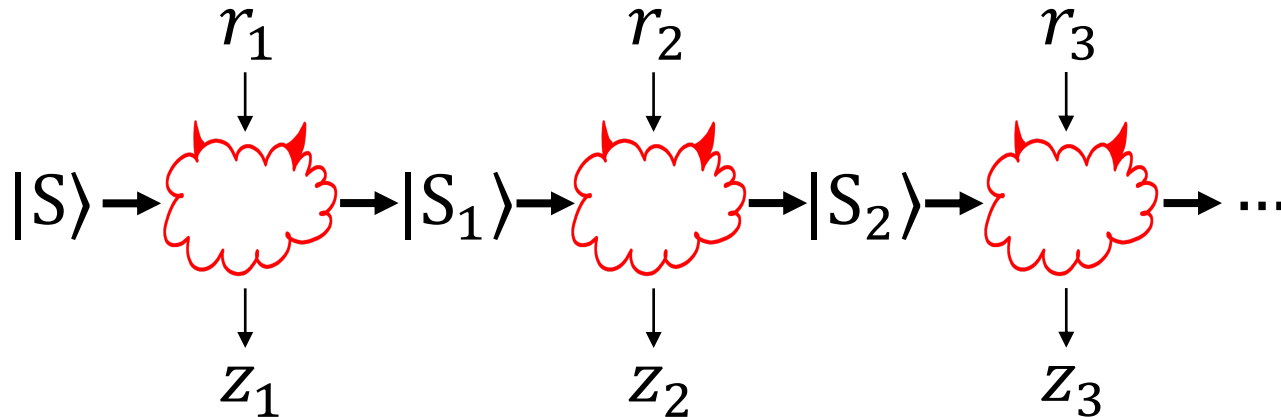
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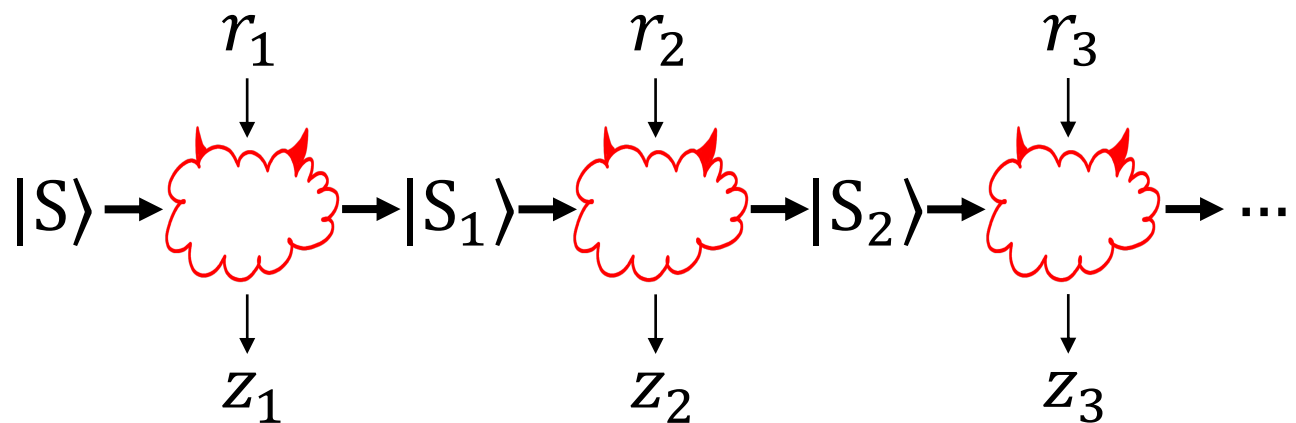
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Unruh's Lemma [U12,DFMS19]:

$$\prod_{i=1}^d p_i \geq p_1^{2d-1}$$

In words: a constant number of sequential trials all succeed with non-negligible probability.



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If we could improve this analysis,
we'd get better quantum rewinding!

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In words: a constant number of sequential trials all succeed with non-negligible probability.

Bad news: We show p_i can decay exponentially fast.

This means “sequential trials” rewinding is stuck at obtaining a constant number of transcripts.

What do we do differently in [CMSZ21]?

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- We don't run the next trial on the leftover state from the previous trial.
- Instead, run a procedure to *repair* the success probability of the leftover state before the next trial.

Quantum Background

- Quantum state: $\sum_x \alpha_x |x\rangle$ superposition over classical x

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- Can do “partial” measurements that don’t fully collapse the state

[CMSZ21] Rewinding: A Bird's-Eye View

success
prob p^*

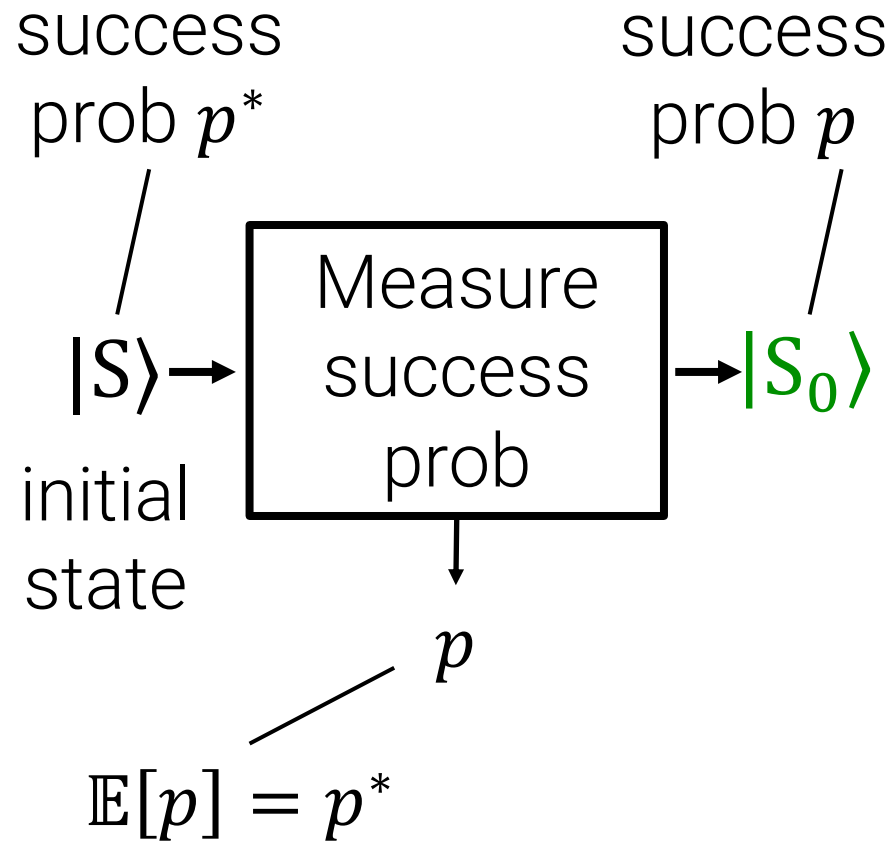
/

$|S\rangle$

initial
state

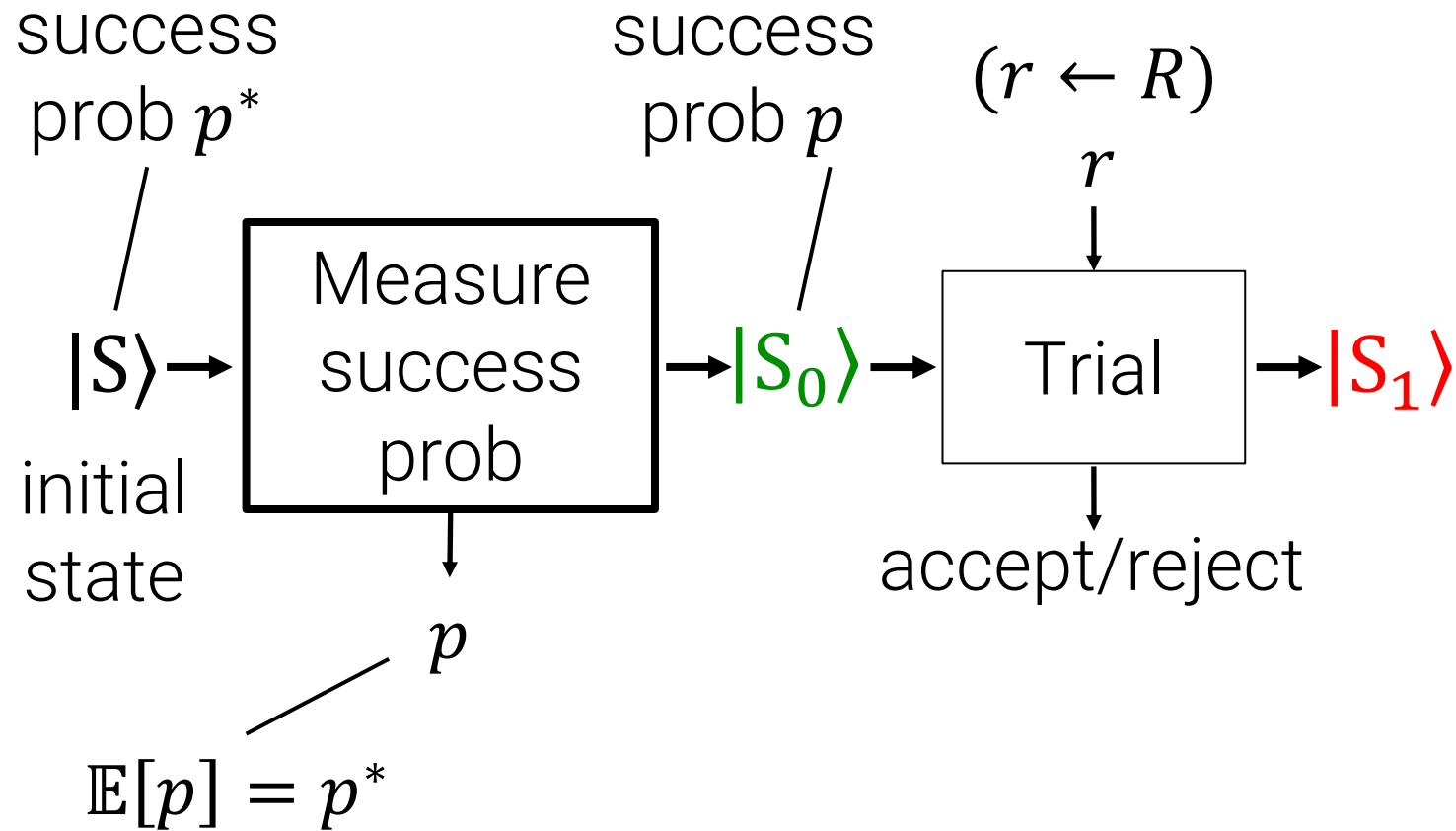
Before any trials, (somehow) measure the
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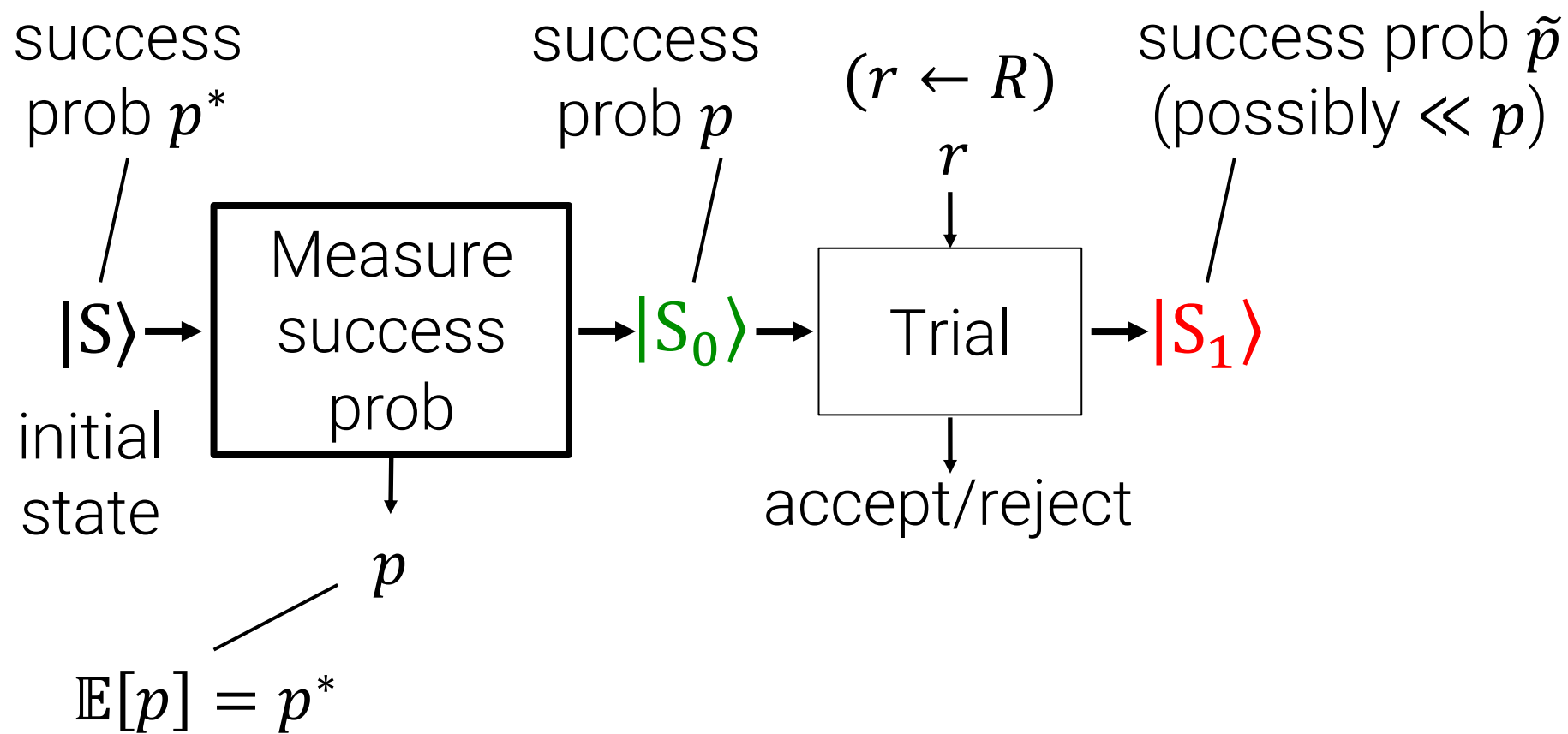
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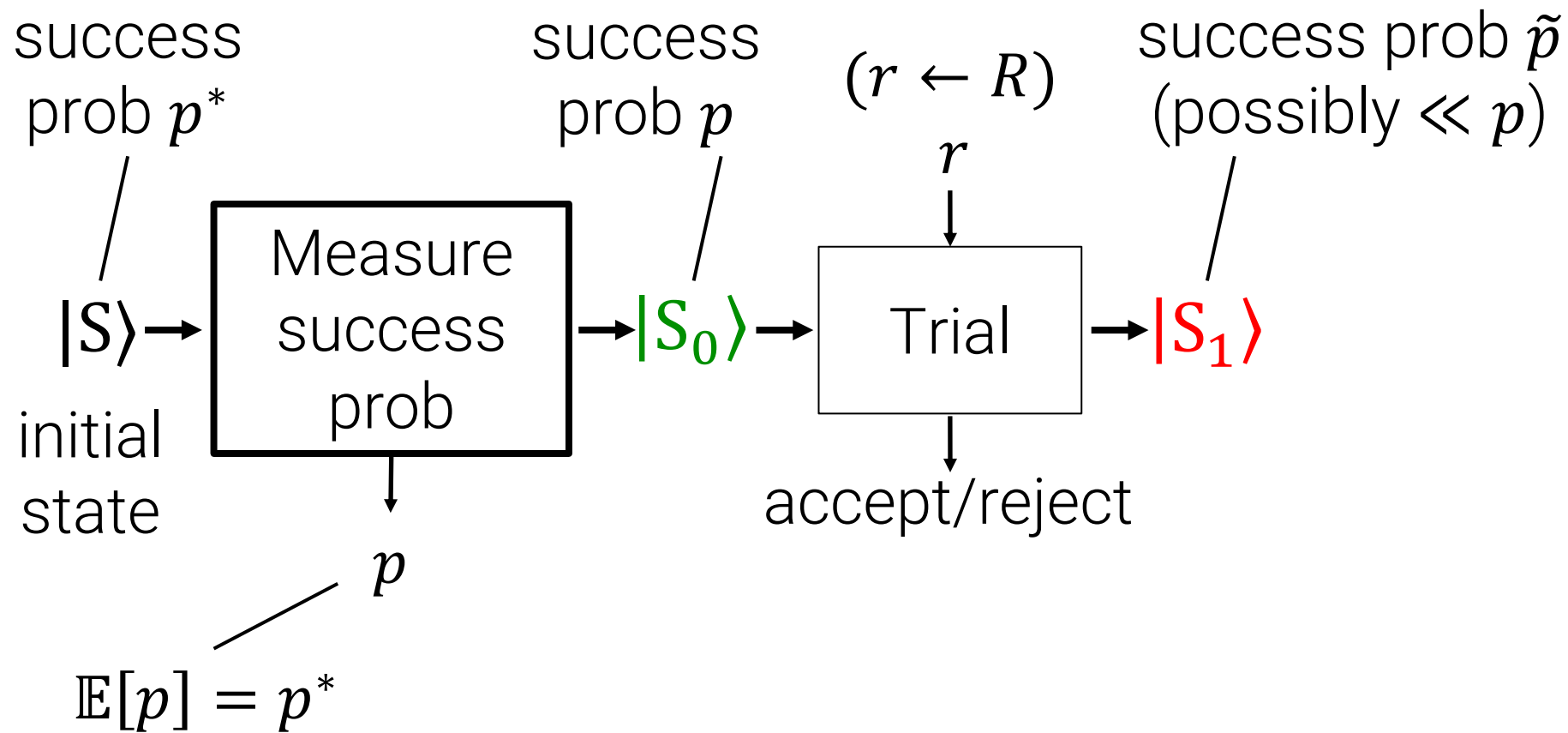
Next, perform trial on $|S_0\rangle$ with known success probability p .

[CMSZ21] Rewinding: A Bird's-Eye View



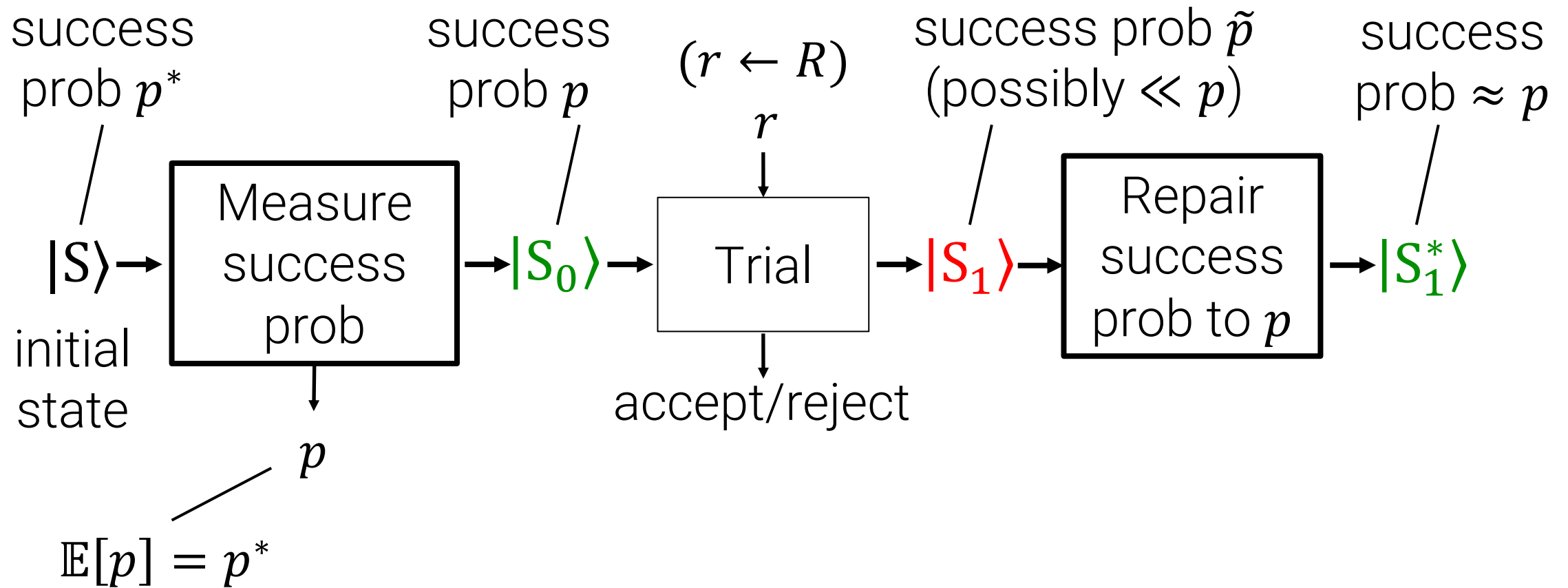
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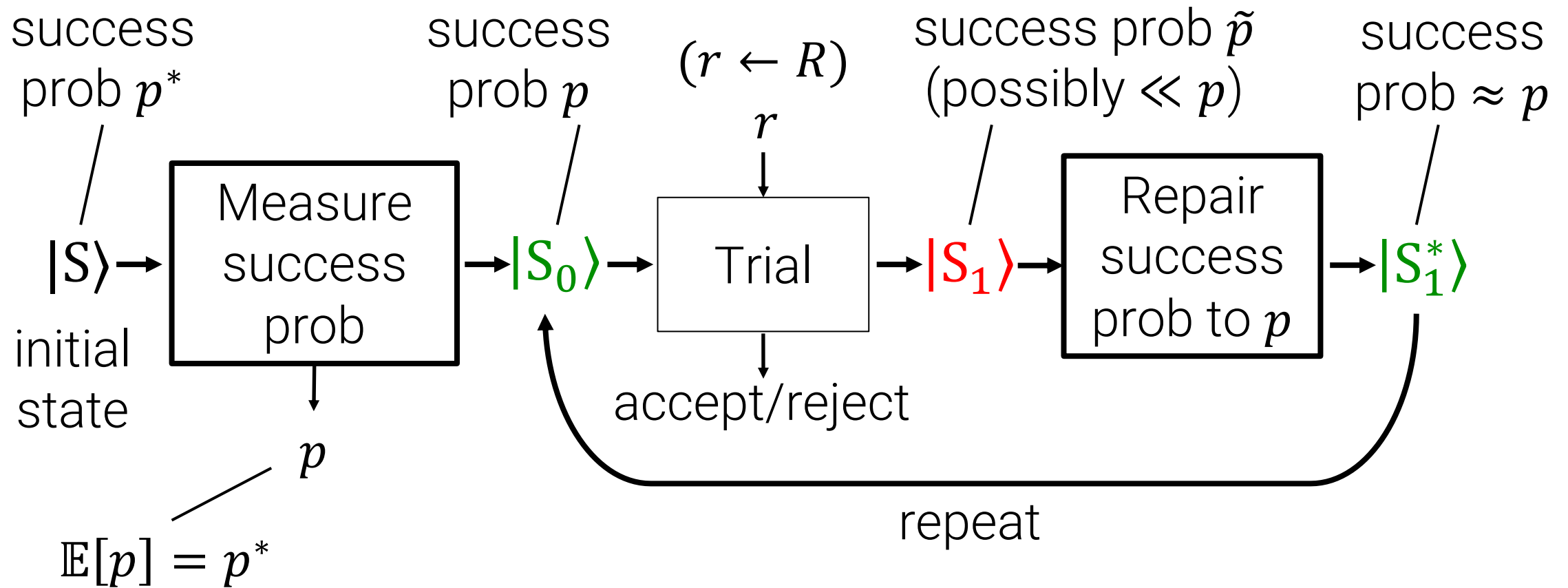
Before next trial, (somehow) **repair the success probability** back to $\approx p$.

[CMSZ21] Rewinding: A Bird's-Eye View



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Before next trial, (somehow) **repair** the success probability back to $\approx p$.

Measure
success
prob

Repair
success
prob to p

How do we implement
these procedures?

Fact: exists special basis $\{|\mathbf{T}_p\rangle\}_{p \in [0,1]}$ where each $|\mathbf{T}_p\rangle$ is adversary with success prob p .

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For $|S\rangle = \sum_p \alpha_p |T_p\rangle$ w/ success prob p^* ,

$$p^* = \sum_{p \in [0,1]} |\alpha_p|^2 \cdot p$$

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$$p^* = \sum_{p \in [0,1]} |\alpha_p|^2 \cdot p$$

[MW04,Z20]: Can *approximately* measure in this basis:

$|S\rangle = \sum_p \alpha_p |T_p\rangle$ collapses to $\approx |T_p\rangle$ w/ prob $|\alpha_p|^2$.

Measure
success
prob

Repair
success
prob to p

[MW04,Z20]

Measure
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[MW04,Z20]

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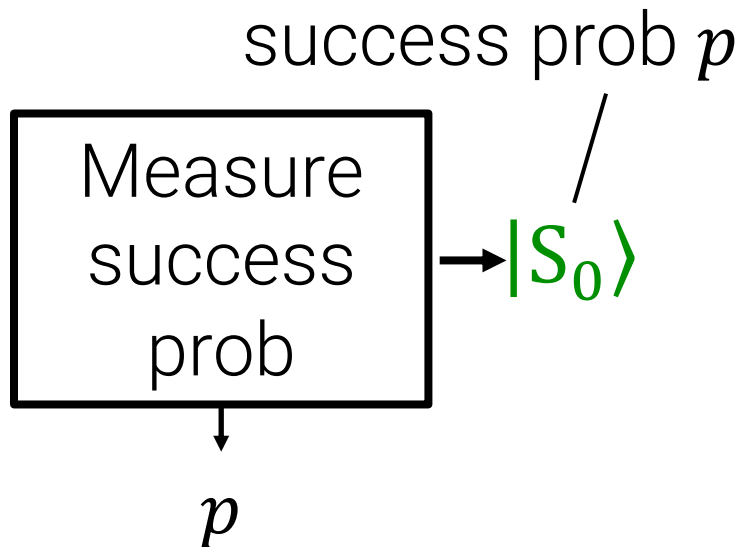
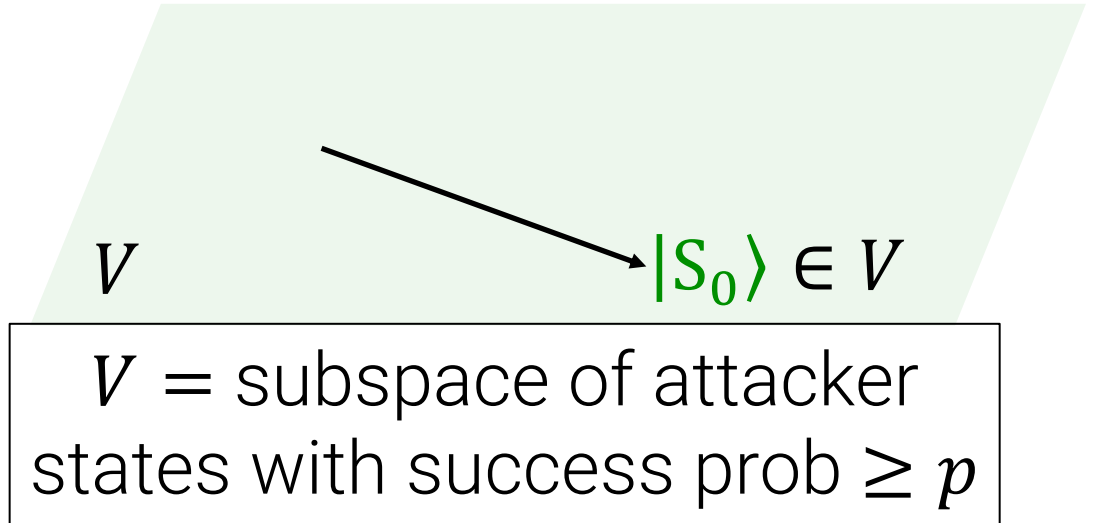
New techniques needed!

[CMSZ21] State Repair

After we measure success prob p , $|S_0\rangle$ lies in subspace

$$V := \text{span}(|T_q\rangle)_{q \geq p}$$

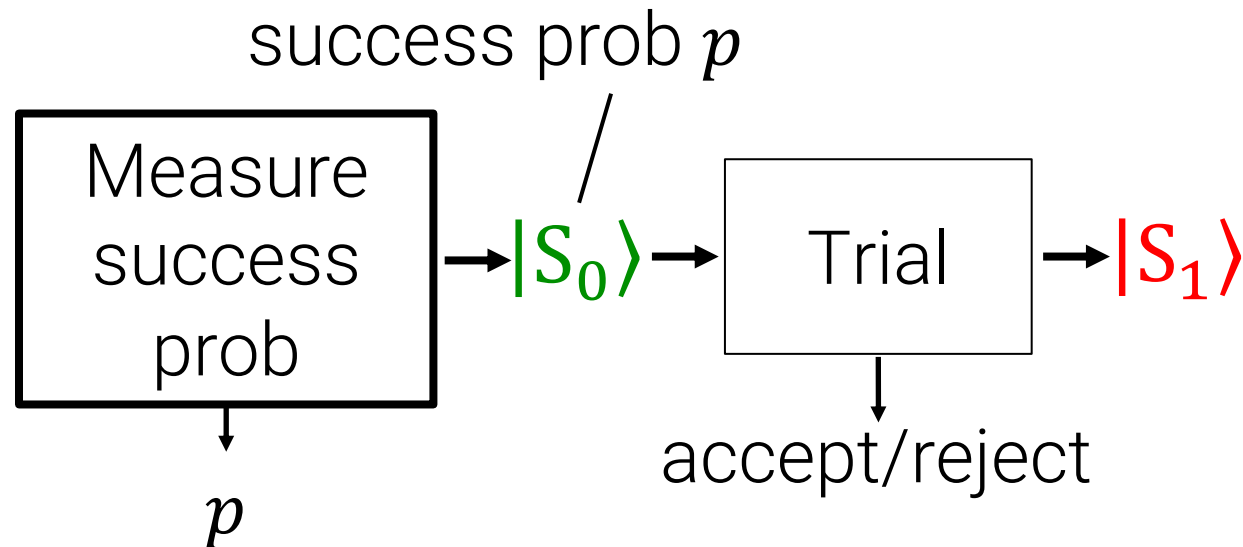
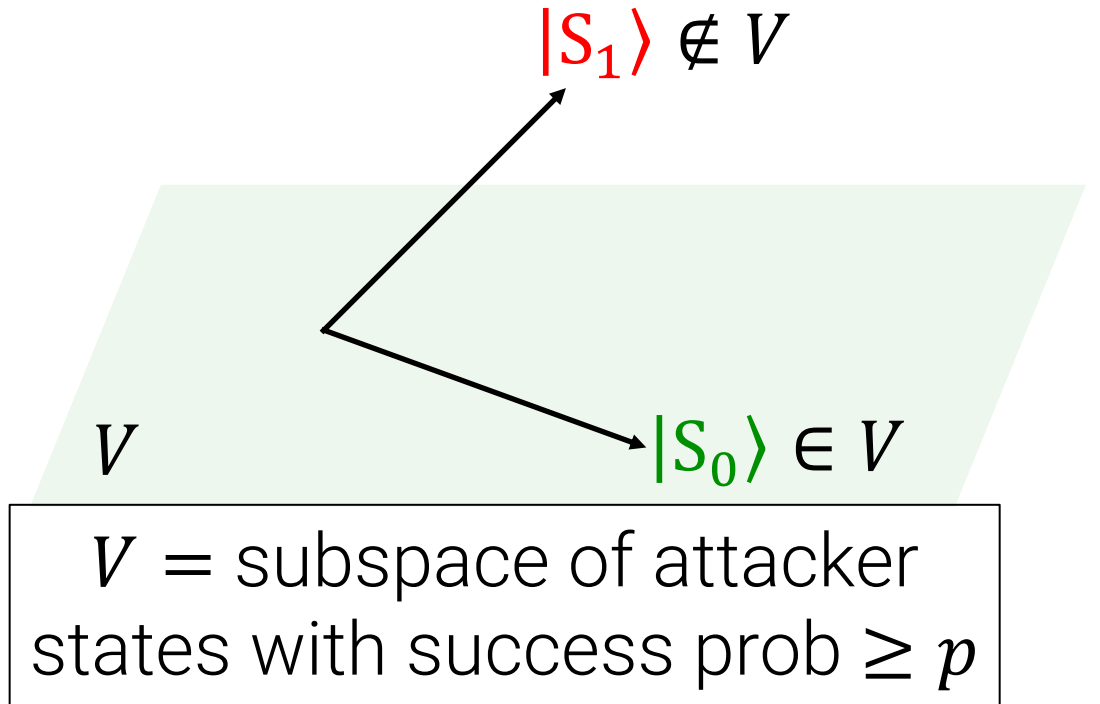
i.e., V = subspace of attacker states with success prob $\geq p$.



[CMSZ21] State Repair

After trial, state is $|S_1\rangle \notin V$.

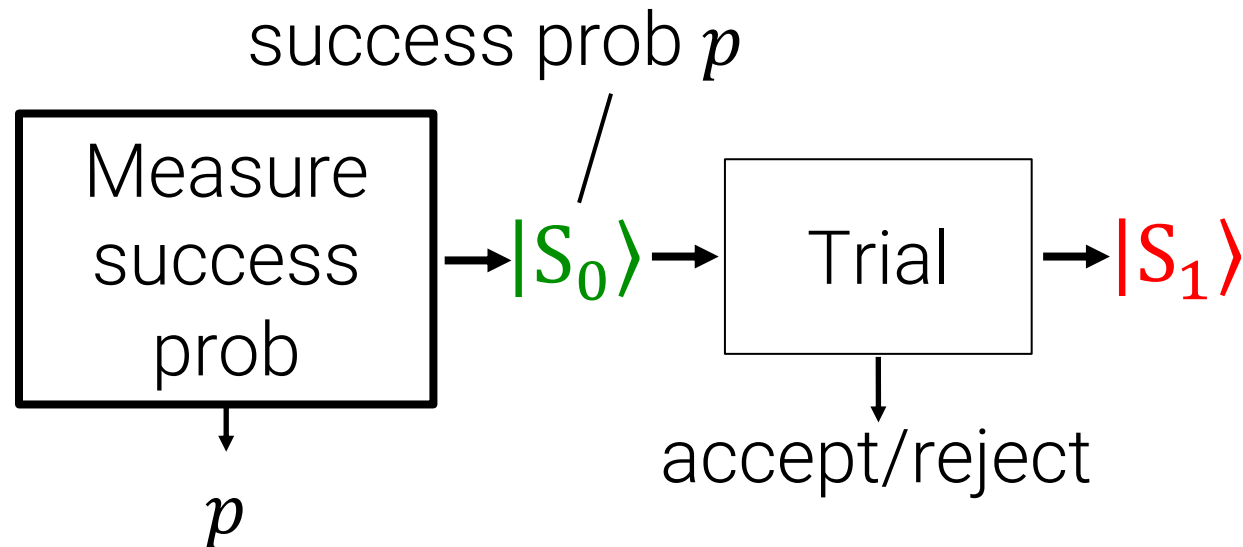
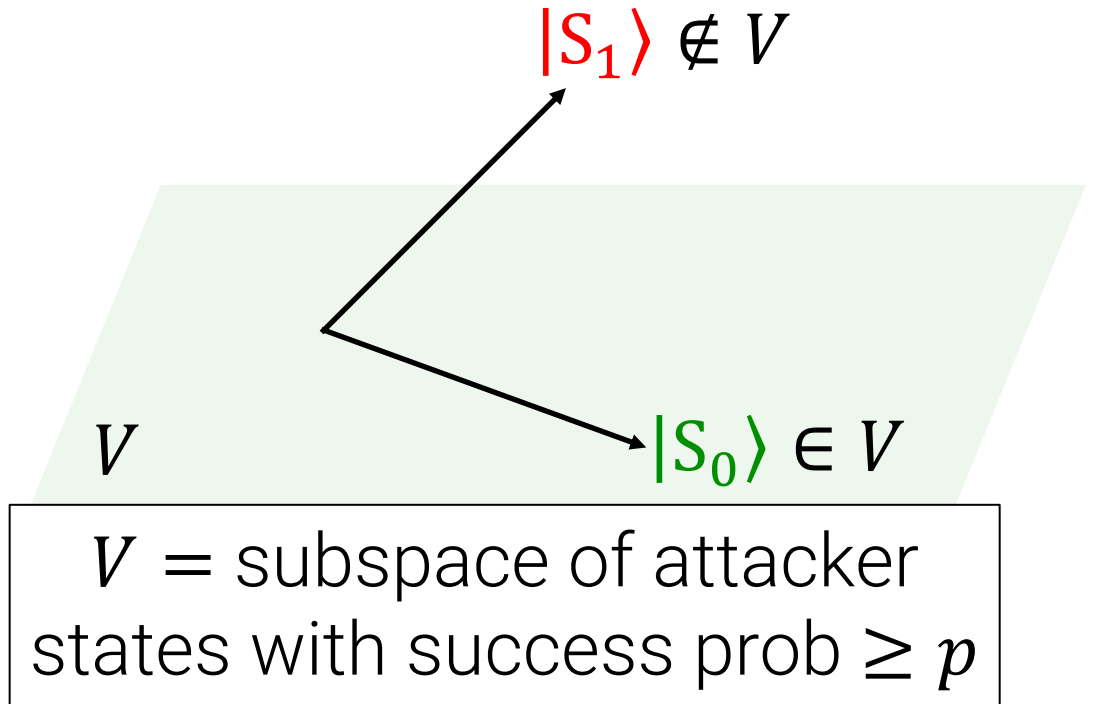
We show: $|S_1\rangle$ not too far from V



[CMSZ21] State Repair

If $|S_1\rangle$ not far from V , must have non-trivial component in V .

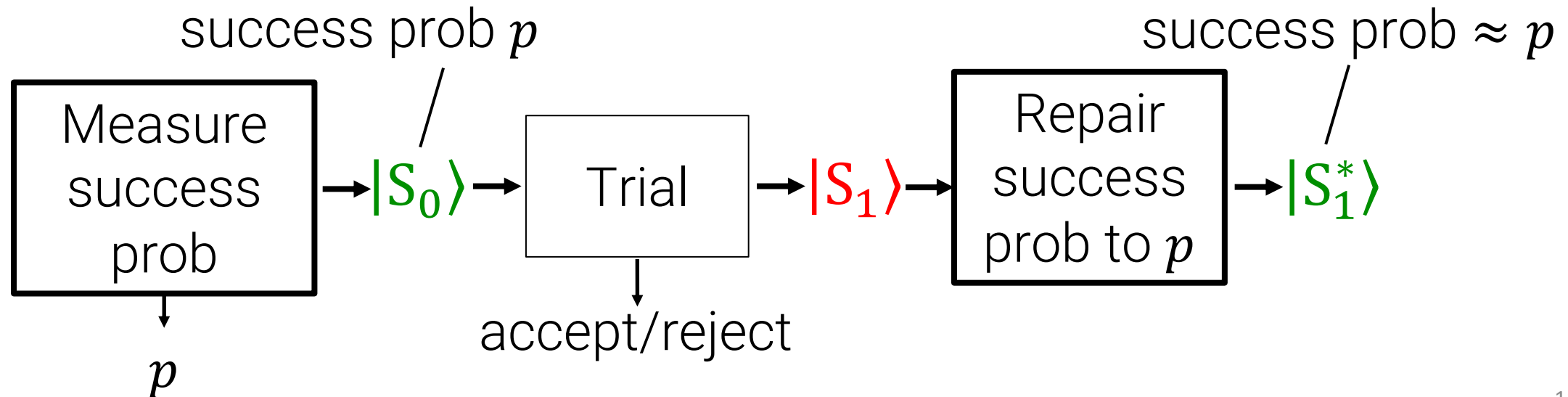
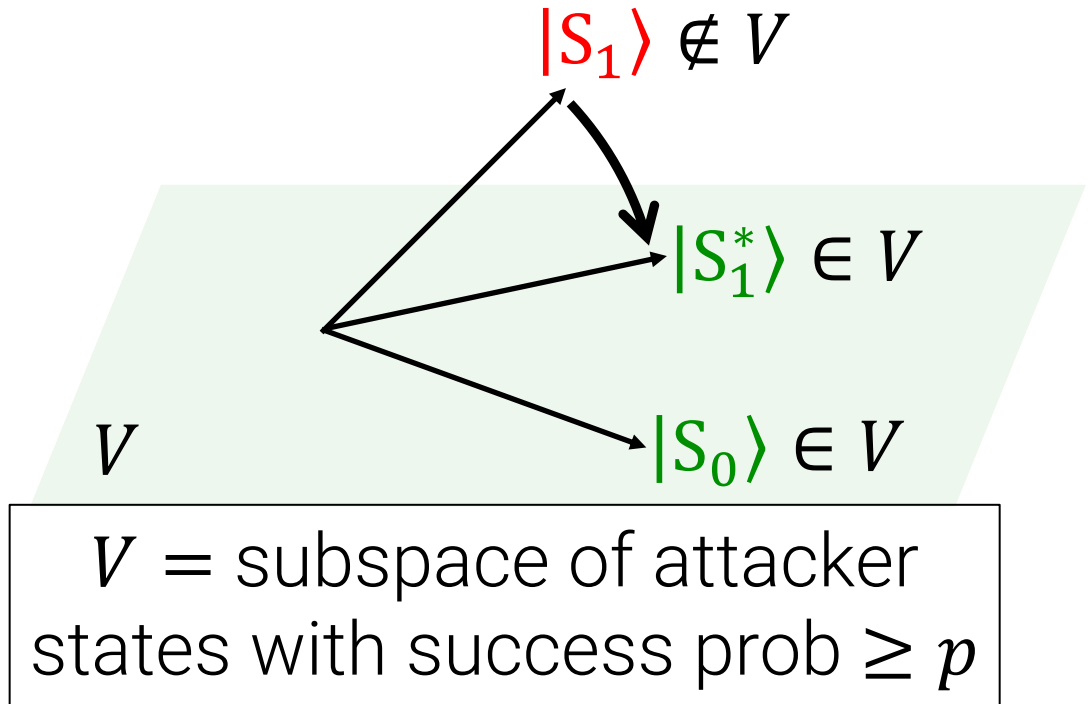
We show: can *amplify* this component to output $|S_1^*\rangle \in V$



[CMSZ21] State Repair

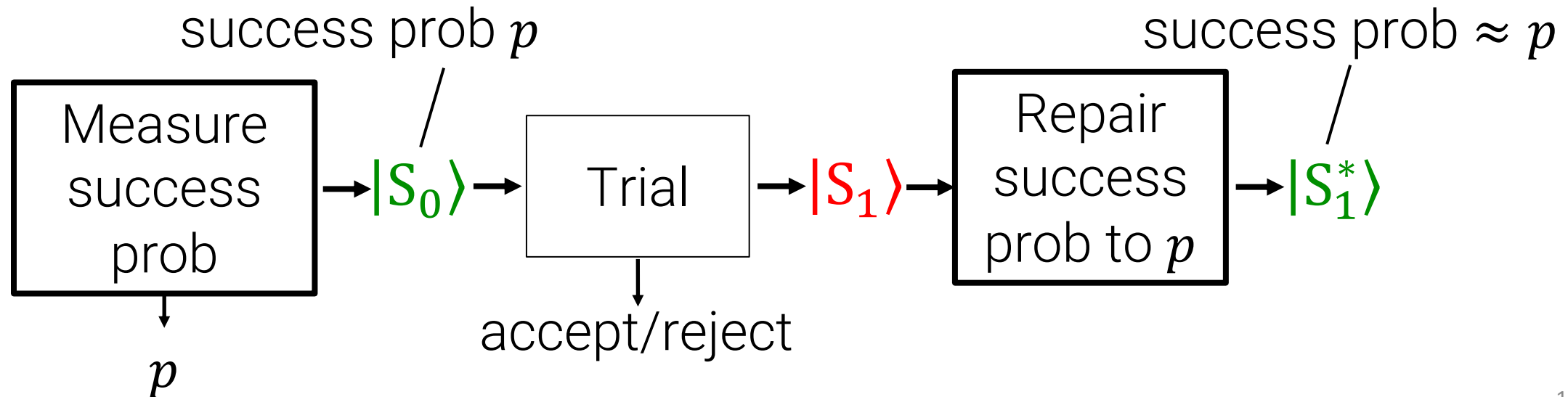
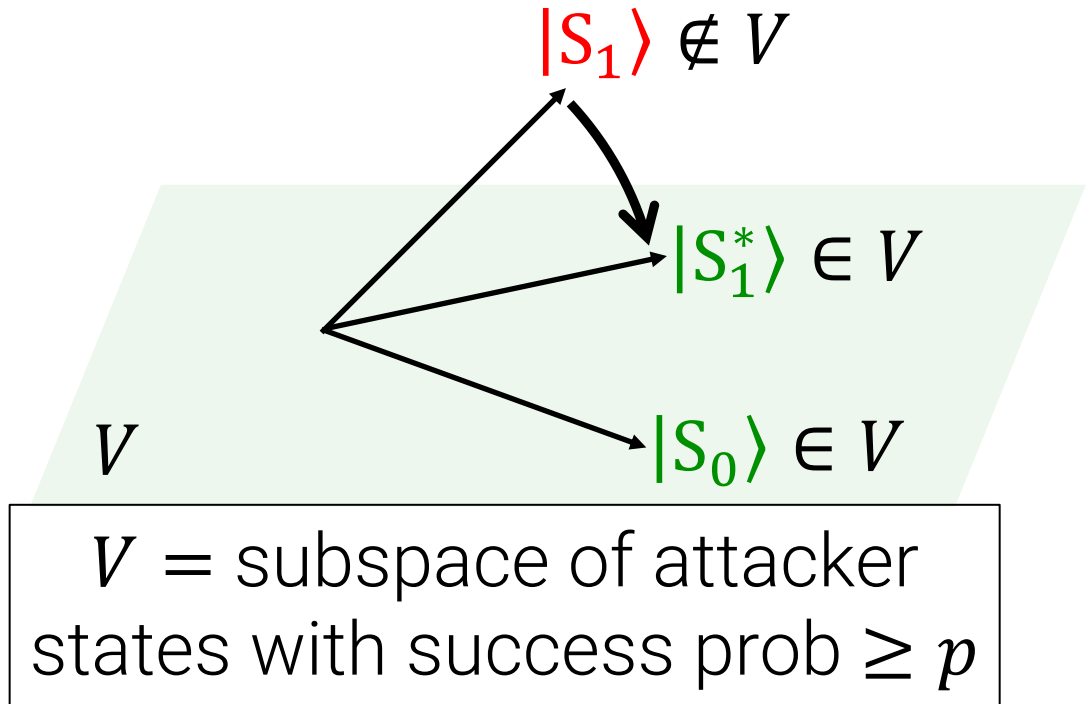
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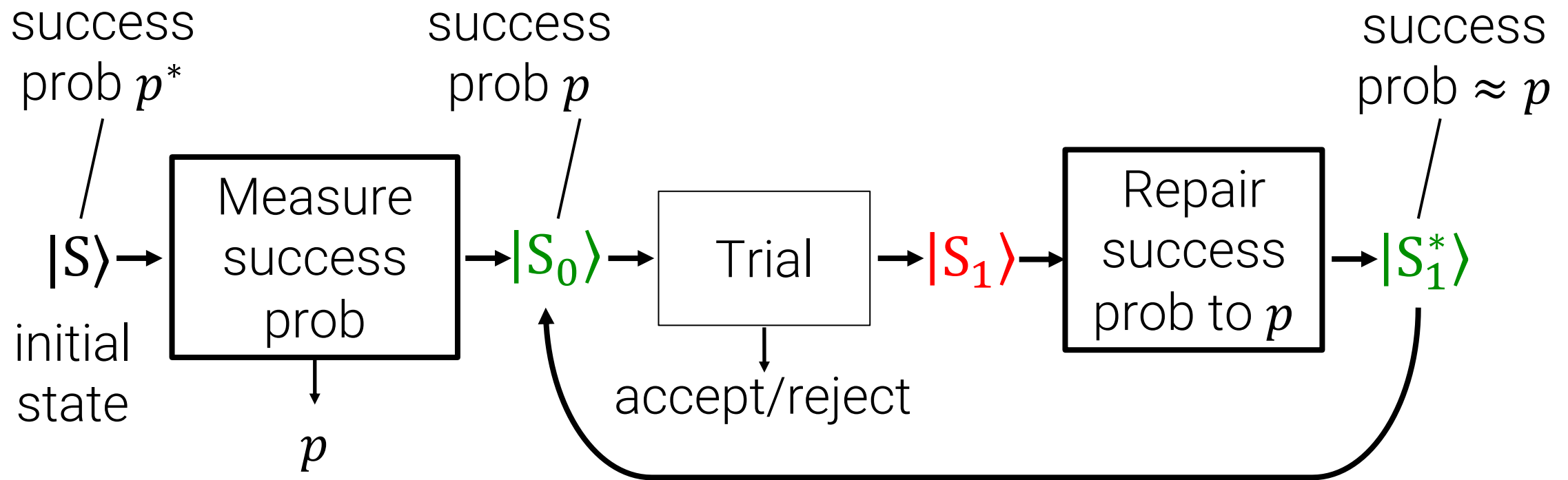
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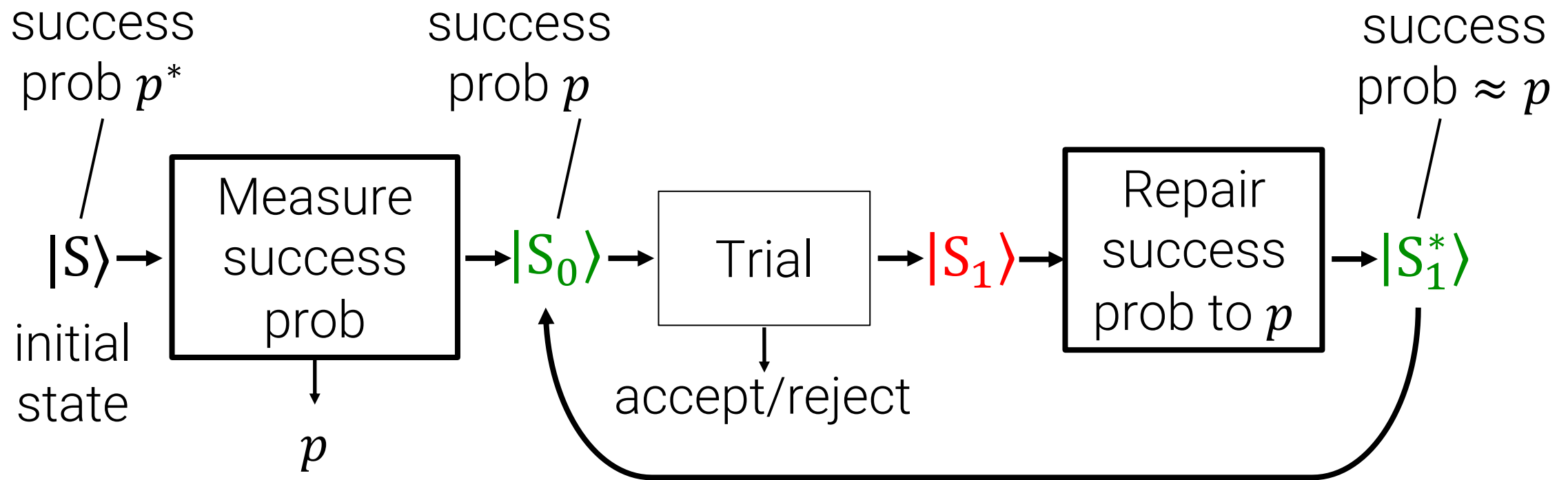
[CMSZ21] State Repair

Looks like *amplitude amplification*, but requires care since we can only *approximately* project onto V .





[CMSZ21] Summary: our quantum rewinding approach extends many classical reductions to the quantum setting.



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Consequences: post-quantum succinct arguments + optimal post-quantum security guarantees for other protocols

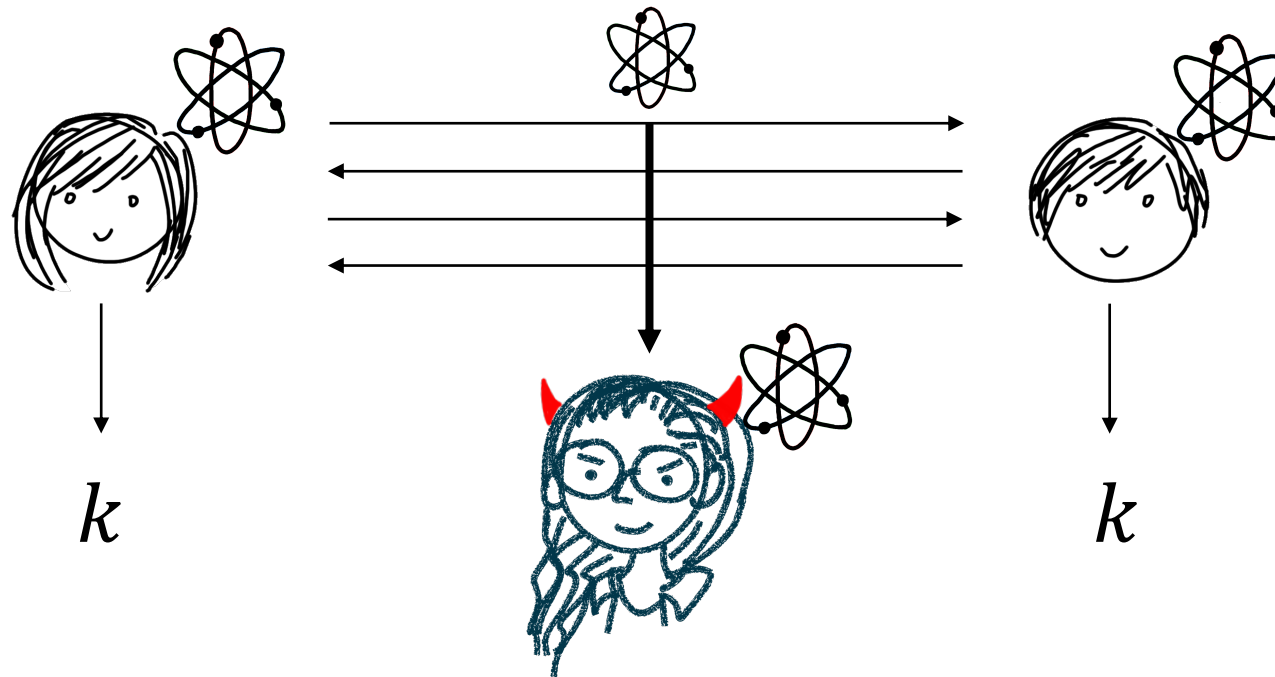
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But in the long-term, even **honest parties** may possess quantum computers.

[BB84] Quantum Key Distribution

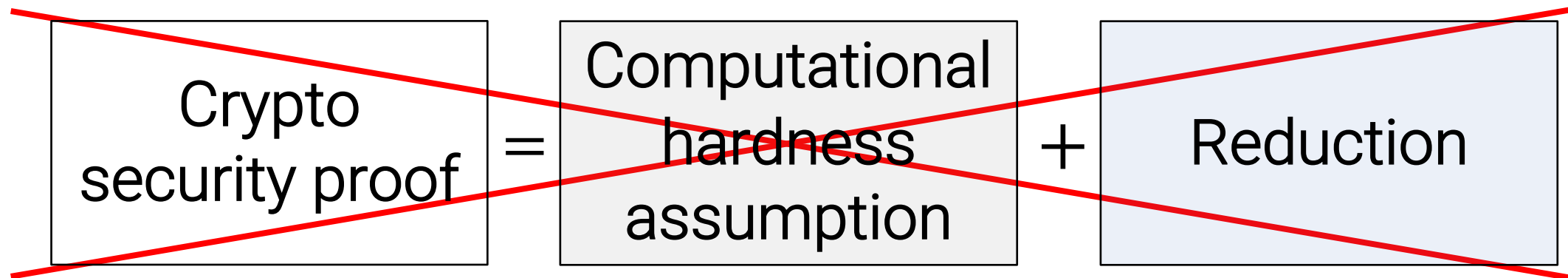
- Quantum parties + quantum channel agree on key
- Security is *information-theoretic* (i.e., no assumptions)



After [BB84], significant efforts (e.g. [BCJL93]) to build more information-theoretic quantum crypto.

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The hope:



Use quantum to build crypto without assumptions!

Bad news [M97,LC97]: Information-theoretic quantum bit commitments are impossible.

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Does this mean for most crypto tasks, quantum information doesn't help?

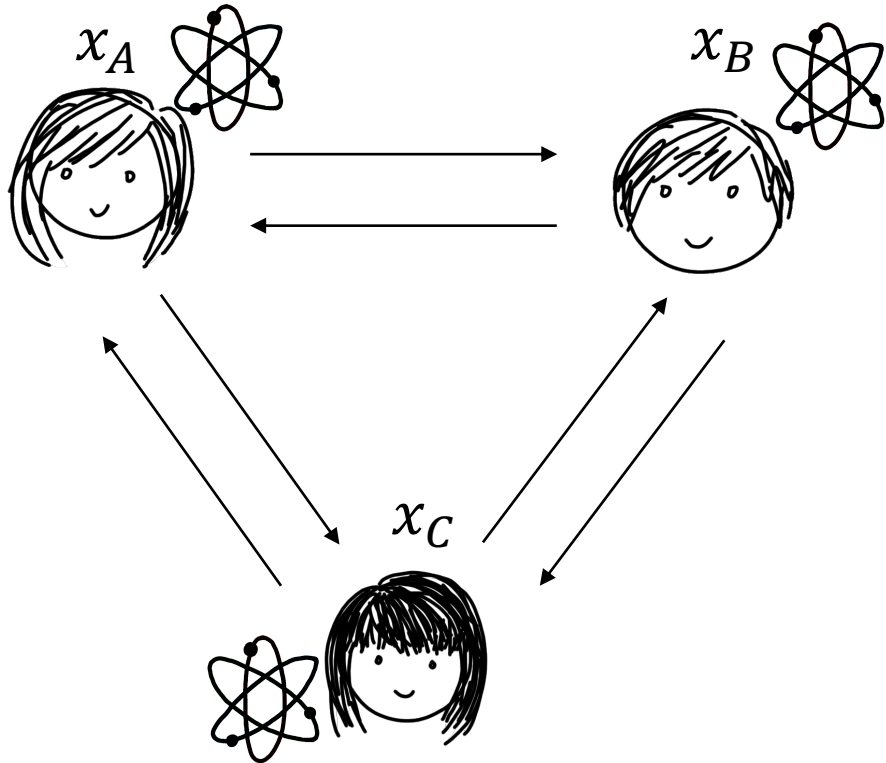
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Not necessarily! May be possible to use quantum information to build crypto from *weaker assumptions*.

Multi-Party Computation (MPC) from One-Way Functions

[BCKM21a]

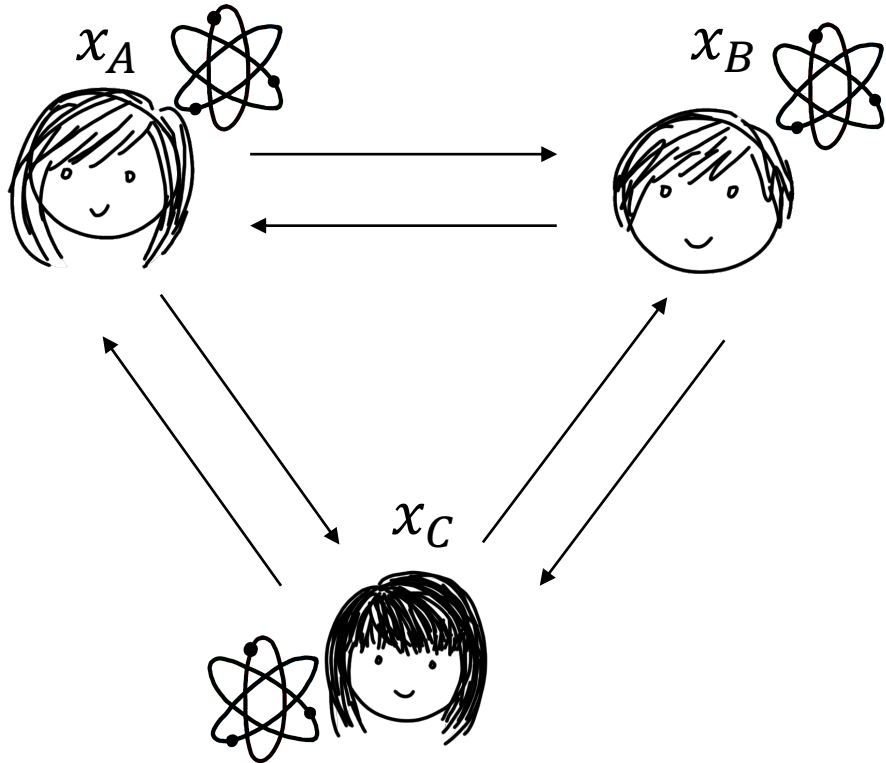


Goal: Learn joint function $f(x_A, x_B, x_C)$ on private inputs

Security: reveal nothing else about x_A, x_B, x_C

Multi-Party Computation (MPC) from One-Way Functions

[BCKM21a]

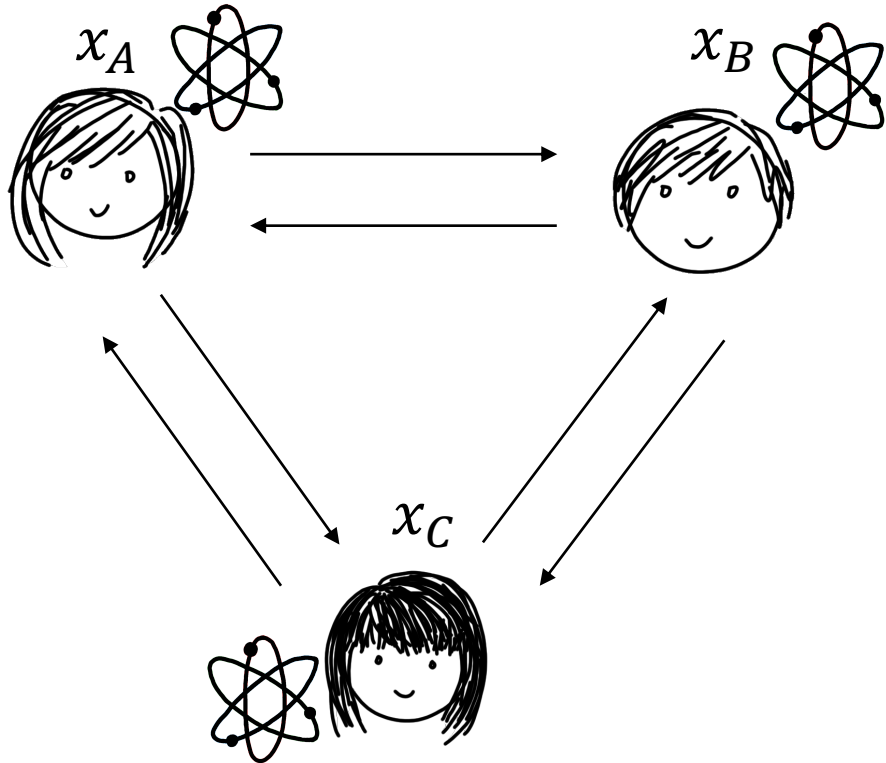


[Yao86,GMW87]: Classical MPC

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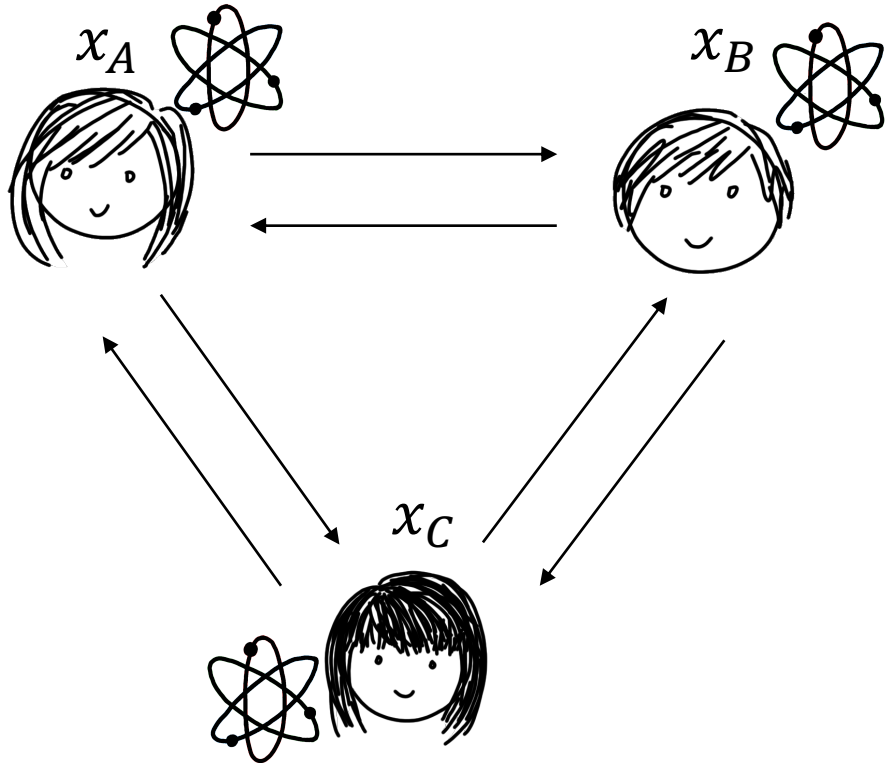
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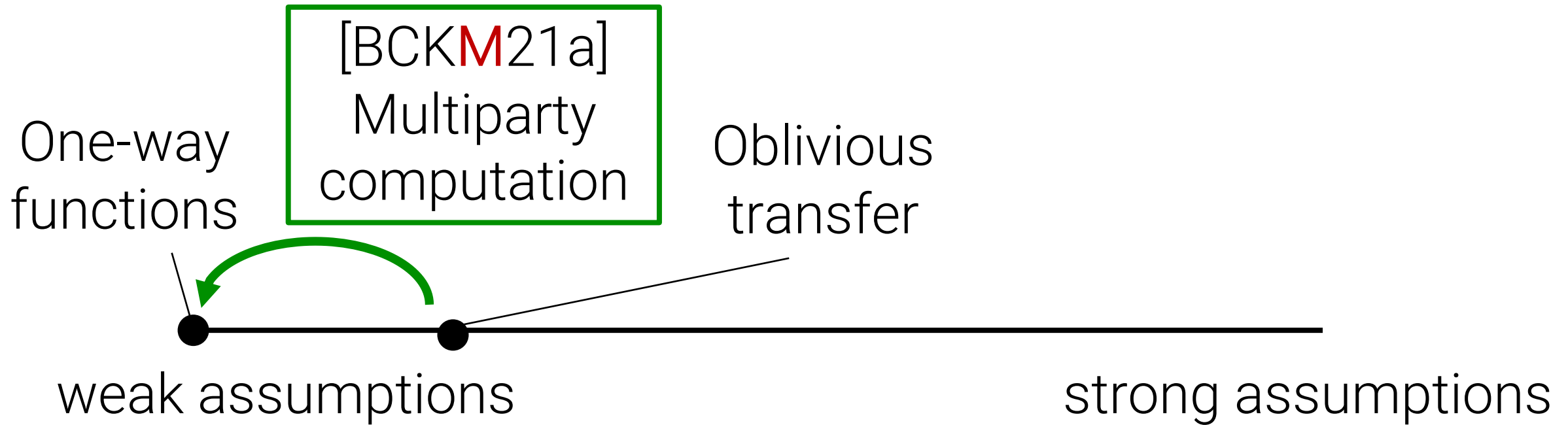


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Recap

[CMSZ21]: Obtain quantum analogue of classical rewinding and prove Kilian's protocol secure against quantum.

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[C**M**SZ21]: Obtain quantum analogue of classical rewinding and prove Kilian's protocol secure against quantum.

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Upcoming work [L**M**S21]:

- obtain **state-preserving** version of [C**M**SZ21] rewinding
- one application: the [GMW86] graph non-isomorphism protocol is zero-knowledge against quantum verifiers

Recap

[CMSZ21]: Obtain quantum analogue of classical rewinding and prove Kilian's protocol secure against quantum.

[BCKM21a]: Construct secure multiparty computation from one-way functions + quantum information.

Rest of thesis: new results on Fiat-Shamir

[BBHMR19]: Barriers to provably-secure succinct non-interactive arguments from Fiat-Shamir.

[CLMQ21]: Investigate whether Fiat-Shamir hash function must be "cryptographic"

Thank You!

Slide Artwork by Eysa Lee